

Thermodynamic parameters at bio-nano interface and nanomaterial toxicity: A case study on BSA interaction with ZnO, SiO and TiO

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7 **Thermodynamic parameters at bio-nano**
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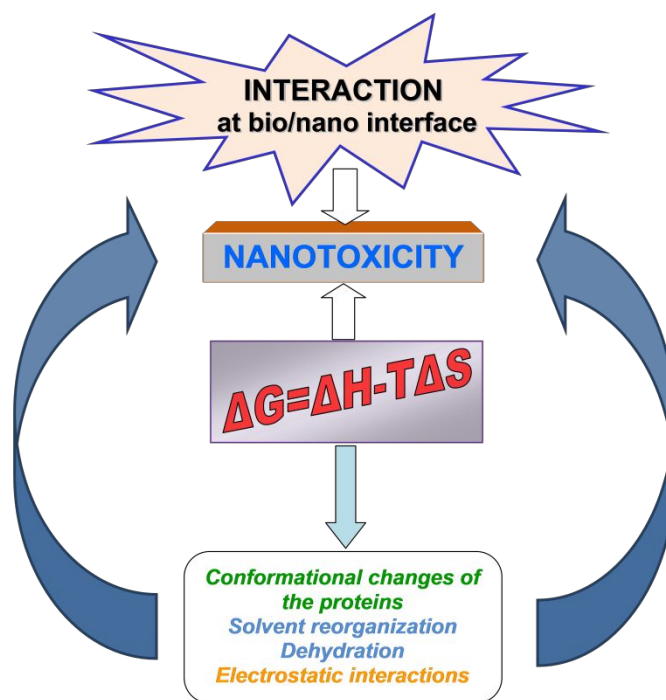
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1
2
3 **ABSTRACT:** Understanding the nanomaterial (NM) - protein interactions is a key issue in
4 defining the bio-reactivity of NMs with great impact for nanosafety. In the present work, the
5 complex phenomena occurring at the bio/nano interface were evaluated in a simple case study
6 focusing on NM-protein binding thermodynamics and protein stability for three representative
7 metal oxide NMs, namely zinc oxide (ZnO)(NM-110), titanium dioxide (TiO₂)(NM-101) and
8 silica (SiO₂)(NM-203), The thermodynamic signature associated with the NM interaction with an
9 abundant protein occurring in most cell culture media, bovine serum albumin (BSA), has been
10 investigated by isothermal titration and differential scanning calorimetry. Circular Dichroism
11 spectroscopy offered additional information concerning adsorption-induced protein
12 conformational changes. The BSA adsorption onto NMs is enthalpy-controlled, the enthalpic
13 character (favourable interaction) decreasing as follows: ZnO(NM-110) > SiO₂(NM-203) >
14 TiO₂(NM-101). The binding of BSA is spontaneous, as revealed by the negative free energy,
15 ΔG , for all systems. The structural stability of the protein decreased as follows: TiO₂(NM-101) >
16 SiO₂(NM-203) > ZnO(NM-110). As protein binding may alter NM reactivity and thus the
17 toxicity, we furthermore assessed its putative influence on DNA damage, as well as on the
18 expression of target genes for cell death (RIPK1, FAS) and oxidative stress (SOD1, SOD2, CAT,
19 GSTK1) in the A549 human alveolar basal epithelial cell line. The enthalpic component of the
20 BSA-NM interaction, corroborated with BSA structural stability, matched the ranking for the
21 biological alterations, i.e., DNA strand breaks, oxidized DNA lesions, cell-death and antioxidant
22 gene expression in A549 cells. The relative and total content of BSA in the protein corona was
23 determined using mass spectrometry-based proteomics. For the present case study, the
24 thermodynamic parameters at bio-nano interface emerge as key descriptors for the dominant
25 contributions determining the adsorption processes and NMs toxicological effect.

INTRODUCTION

In recent times, manufactured nanomaterials (NMs) have received much attention due to their increased use in biomedical, magnetic, catalytic, energy production and electronic applications. A great amount of work is dedicated to designing novel NMs and nano-enabled products. At the same time, it has been well recognized that concerns on potential impacts on human health and the environment have to be adequately addressed, which requires approaches that sometimes challenge the conventional hazard and risk assessment.¹⁻⁴

Due to the unique physical and chemical properties of NMs, the understanding of their behavior, especially with concern to their stability and reactivity, presents a host of questions and problems. Particularly, understanding the interaction of NMs with biological system (bio-nano interaction) is important not only for the appropriate use of these materials in nanomedicine (for more efficient diagnostics, therapeutics and tissue regeneration), but also to find key parameters that are predictive for NM-reactivity and thus for nanotoxicity. This is an emerging field investigated in parallel with the design of materials for novel applications.⁵⁻¹⁰ It has been emphasized that the biological behaviour of NMs and their consequences on human and ecological health are largely dictated by how they interface with physiological environments.¹¹ The interaction of NMs with proteins and other biomolecules from their surroundings to form so-called “biomolecular coronas”^{10,12-15} modifies the surface of the NMs, creating the “biological identity” of a NM.¹⁶⁻¹⁸ The “bio-nano interface” is then responsible for and mediates the “biological responses” of NMs, i.e. it can be critical in determining the extent of NM interactions with cells.

Protein adsorption is considered a key element that influences biological responses and therefore most thoroughly investigated.^{5,12,19-21} Important factors that influence NM-protein interactions,

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3 i.e. the physicochemical properties of the NMs and proteins, the nature of the surrounding
4 biological medium, the protein/NM concentration ratio, the duration of exposure etc., were
5 excellently addressed in a series of papers and reviews^{5,15,17,20,22-25}, contributing to the
6 understanding of how the protein adsorption may affect the overall bio-reactivity of the NM and
7 which are their implications on cellular uptake. However, due to the complexity of NM-protein
8 interactions, a deeper understanding of the critical factors governing NMs' biological response is
9 still limited. There are currently many knowledge gaps to be filled in this respect.²⁶ One
10 important reason for these gaps stems from a lack of understanding of thermodynamic
11 determinants involved in the NM-protein interactions. Thermodynamic data are needed because
12 the driving forces for chemical reactions and diffusion can be described properly in terms of
13 thermodynamic properties. Thus, the thermodynamics of NM-protein interaction is a key issue
14 when searching for both scientific and applicative reasons.

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31 In line with this challenging concept, within the EU funded NanoReg2- Nr. 646221/2015 project
32 a systematic study of the thermodynamic parameters of the interactions between the NM surface
33 and selected model proteins that are representative of the protein corona of that NM has been
34 developed.^{27,28}

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TiO₂, ZnO and SiO₂ are three of relevant metal oxide NMs, whose widespread use in industrial applications, consumer products, as well as the biomedical field^{24,29,30} increases concern over their potential impact on the environment and human health. They were also included by the OECD's Working Party on Manufactured Nanomaterials (WPMN) in the priority list of the Sponsorship Programme for the Testing of Manufactured Nanomaterials (Testing Programme) in November 2007.³¹

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3 In the present work, the complex phenomena occurring at the bio-nano interface were evaluated
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5 by assessing the effect of the NMs on the protein stability and the thermodynamics of binding.
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7 The thermodynamic signature of the interaction of three representative metal oxide NMs
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9 received from the Joint Research Center Repository, i.e. zinc oxide ZnO(NM-110), titanium
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11 dioxide TiO₂(NM-101) and silica SiO₂(NM-203) with bovine serum albumin (BSA) has been
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13 investigated by using isothermal titration and differential scanning calorimetric measurements.
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15 Bovine serum albumin is commonly found in cell culture medium as a main component of fetal
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17 bovine serum, a widely used cell culture supplement. Furthermore, BSA has a well-known
18
19 structure, being 98 % similar to the human analog, human serum albumin (HSA). Albumin is the
20
21 major and most abundant protein in blood and many biological fluids^{32,33} who can mitigate
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23 oxidative stress caused by NMs.³⁴ The beneficial properties of albumin are dependent on the
24
25 integrity and preservation of its structure. In addition, albumin has frequently been identified as a
26
27 major component in many different NM protein coronas.^{10,20,35,36}
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33 The following issues were addressed: (i) analysis of binding characteristics for protein-NMs
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35 systems represented by the binding constant, binding stoichiometry, enthalpy, Gibbs energy and
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37 entropy changes of binding interaction; (ii) assessment of the effect of NMs on the protein
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39 stability by measuring the thermodynamic parameters for the proteins denaturation (denaturation
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41 temperature, heat capacity; enthalpy, entropy and free energy changes). The thermodynamic data
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43 together with the information on conformational changes/unfolding of the protein during
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45 adsorption obtained by means of Circular Dichroism (CD) have been evaluated to get insight into
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47 adsorption-induced changes in the protein structure and stability, as well as into the mechanism
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49 of binding. The relative content of BSA in the protein corona has been determined using mass
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51 spectrometry (MS)-based proteomics. Furthermore, we aimed to correlate parameters describing
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3 the bio-nano interaction to overall NM toxicity. The underlying idea is that several adverse
4 effects of NMs areas sumed to be causally linked to surface reactivity, which in turn is
5 influenced by a biomolecule corona covering the surface. Oxidative stress can be assumed to be
6 directly linked to NM surface reactivity. Cytotoxicity and genotoxicity might also be correlated to
7 NM reactivity. With respect to genotoxicity NMs often do not directly interact with DNA but act
8 via an indirect mode-of-action, e.g., via causing oxidative stress^{37,38}. Thus, for assessing
9 genotoxic outcomes, DNA damage was measured by the Enzyme-linked comet assay with
10 inclusion of formamidopyrimidine DNA glycosylase (Fpg) that detects predominantly DNA
11 oxidation lesions, specifically oxidized purines³⁹ in the human alveolar basal epithelial cell line
12 A549. Additionally, real-time reverse transcription-polymerase chain reaction (RT-PCR)
13 determined the mRNA expression level of target genes for cell death (receptor-interacting
14 serine/threonine-protein kinase 1 -RIPK1, FAS) and oxidative stress (superoxide dismutase 1 -
15 SOD1, superoxide dismutase 2 - SOD2, chatalase - CAT, glutathione S-transferase kappa 1 -
16 GSTK1) in A549 cells. The role of the thermodynamic parameters as suitable descriptors of the
17 NM/protein interaction, allowing a good correlation with the dominant contributions determining
18 the adsorption processes and NMs genotoxicity effect is discussed.

43 MATERIALS AND METHODS

46 Materials

49 The NMs used in these investigations are representative NMs from the JRC Repository and are
50 indicated in Table 1. Each of these NMs originates from one batch of commercially
51 manufactured NMs.^{40,41} The details about their main physico-chemical characteristics are

described in the JRC Scientific and Technical Reports on NM-Series of Representative Manufactured Nanomaterials⁴²⁻⁴⁴, OECD Dossiers on NMs⁴⁵⁻⁴⁷, as well as in the recent publications.^{25,48}

Table 1. List of the nanomaterials used in this study

Former NM code ¹	JRC ID ²	Type of material	Primary particle size (nm)
NM-101	JRCNM01001a	Titanium Dioxide (100% anatase) ⁴²	5-6
NM-203	JRCNM10404a	Silicon Dioxide (synthetic amorphous) ⁴³	58
NM-110	JRCNM01100a	Zinc Oxide (uncoated, pure synthetic zincite) ⁴⁴	158*

¹NMs that have been used in the OECD WPMN Testing Programme

²According to JRC Nanomaterials Repository, List of Representative Nanomaterials, March 2016

* median Feret min size below 100 nm classifying this material as nanomaterial

Bovine serum albumin (BSA), Fraction V, A9056, *fatty acid free* was purchased from Sigma-Aldrich and used without further purification.

Methods

Dispersion of the NM

Dispersion of NMs for thermodynamic analyses

Aqueous NMs dispersions of different concentrations and aqueous protein solutions were prepared by weighting and by adding Milli Q (Direct-Q 3UV System, Millipore, 18.2 MΩ cm) pure water (pH 5.4). The NMs dispersions were sonicated using a 13 mm disruptor horn

(SONOPULS HD 3100, Bandelin, Germany) for 10 min with 10% amplitude and energy of 7.192 kJ, following the NANoREG D2.08 SOP 02⁴⁹ adjusted to calorimetric concentrations. Dynamic light scattering (DLS) measurements were carried out in order to characterize NMs dispersions in the absence and presence of BSA using a Zetasizer Nano-ZS instrument (Malvern Instruments, Worcestershire, UK) with a 4mW He-Ne laser module. All measurements were performed at 298 K using the standard viscosity (0.89 cP) and optical and electrical properties of Milli Q water (RI 1.33, dielectric constant 78.3). Before each measurement, the samples were thermally equilibrated for 2 min to minimize changes in viscosity during measurements. The hydrodynamic diameter of NMs in dispersion and polydispersity index (*PdI*) were measured and the average of ten runs is reported as *Z*-average \pm SD and *PdI* \pm SD in Table S1 from Electronic Supplementary Information. The zeta potential (ζ), a measure of net surface charge density for NMs was analyzed as a function of pH to determine stability properties of the aqueous suspensions. The isoelectric point (*IEP*) was determined from ζ of water dispersed NMs in the absence and presence of BSA in the pH range 2 - 8 by titration with HCl (0.1 M) and NaOH (0.1 M) and dosing using the MPT-2 Titrator (Malvern Instruments, Malvern, UK). The DLS measurements were performed at BSA/NMs molar ratio used in calorimetric experiments: BSA (0.51 mM) with TiO₂ NM-101 (9x10⁻⁴mM); (B) BSA (0.1 mM) with SiO₂ NM-203 (3.35x10⁻⁵ mM) and (C) BSA (0.51 mM) with ZnO NM-110 (2.88x10⁻⁹ mM) in water.

Dispersion of NMs for protein corona analysis and toxicity studies

NMs were dispersed in MilliQ-filtered water added 0.05% (weight/volume) BSA as described in the “NANoREG D2.08 SOP 02.”^{49,50} A short description of the protocol can be found in Supporting Information. In all cases the quality of the dispersions has been assessed by Dynamic Light Scattering (DLS).

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3 DLS measurements were done to obtain the mean hydrodynamic diameter (Z-average) and zeta
4 potential for the stock solutions as well as for the final dispersions in complete cell culture
5 medium (2 and 100 $\mu\text{g}/\text{mL}$, at 37°C) using a Zetasizer Nano ZSP (Malvern Instruments Ltd.,
6 UK) following the procedure described.⁴⁹ Measurements in complete DMEM cell culture
7 medium were carried out prior to cell exposure (time zero) and after the 24 h exposure time. In
8 addition, the morphology of single particles was determined by TEM at 160 kV (JEM-2100,
9 JOEL, Japan) using the drop-on-grid method and air-drying at room temperature according to the
10 “NANoREG D2.10 SOP 01.”⁵¹ The average particle size of each NM was determined using
11 ImageJ (Version 1.50i, National Institutes of Health, USA). Furthermore, the effective density of
12 NMs in cell culture medium was determined as described by DeLoid et al.⁵² and Cohen et al.⁵³
13 using the theoretical stacking factor of 0.634 (random packing of spheres) for all NMs.^{52,54} The
14 DLS results of the NMs’ dispersions used for mass spectrometry can be found in Figure S2,
15 while the physico-chemical data pertaining the toxicity studies are presented in Table S3.
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34 **Isothermal Titration Calorimetry (ITC) measurements**

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36 ITC titrations at 298 K were performed using an ITC 200 microcalorimeter (MicroCal Inc.) for
37 evaluation of native protein - NM interaction. Protein solutions and NM dispersions were
38 degassed for 10 min under vacuum prior to their use in ITC experiments, by using MicroCal
39 ThermoVac degasser, to eliminate air bubble formation inside the calorimeter cell. The sample
40 cell was loaded with sonicated and degassed solution of dispersed NMs (ZnO NM-110, TiO₂
41 NM-101, SiO₂ NM-203) in water and the titrant syringe of the calorimeter was filled with BSA
42 solution. The reference cell was filled with double-distilled and degassed water. The following
43 settings were used: stir speed 400 rpm, to ensure the solutions mixing, reference power 3 μCal
44 per second, feedback mode/gain set to high, initial delay 300 seconds and filter period of 1
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3 second. One 1 μl injection followed by 19 injections of 2- μl were performed at a rate of 1- μl per
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5 second, spaced at 150 s in all the experiments (except a space of 250 s for the BSA-ZnO NM-
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7 110), so that the system is given time to equilibrate and the heat signal returns to baseline before
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9 the next injection occurs. The first injection was excluded from the analysis according to usages
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11 in ITC, due to the anomaly of the first peak⁵⁵. The thermograms of the interaction were
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13 corrected for the heat effects of dilution of the BSA and the NMs, determined in separate
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15 experiments. Each peak in the binding isotherm represents a single injection of BSA to NMs
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17 dispersion.
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20
21 The total heat content Q of the NM suspension contained in the active cell volume V_0 at
22
23 fractional binding Θ is given by the following equation^{56,57}:

$$\Delta Q = n\Theta M_t \Delta H V_0 \quad (1)$$

24
25 where ΔH is the molar enthalpy change of protein binding, M_t is the total concentration of the
26
27 NM in the cell and n is the number of protein molecules adsorbed on NM. The heat released,
28
29 $\Delta Q(i)$ from the i^{th} injection for an injection volume, dV_i , is then given by equation:
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$$\Delta Q(i) = Q(i) + \frac{dV_i}{V_0} \left[\frac{Q(i) - Q(i-1)}{2} \right] - Q(i-1) \quad (2)$$

34
35 The integration of the area under each injection in the heat profile, after subtraction of heat of
36
37 dilution of both NMs and the protein, gives the differential curve shown in the bottom panel of
38
39 the respective thermogram. The corrected experimental data were fitted with a single set of
40
41 independent sites (OneSites) binding model, implemented in the Origin for ITC v.7 software
42
43 (Microcal) (Supporting Information). The fitting results allow to determine the binding
44
45 stoichiometry n , the binding constant K and enthalpy change ΔH . The free energy ΔG and
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47 entropy changes ΔS were calculated by using the standard thermodynamic equations (3) and (4):
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$$\Delta G = -RT \ln K \quad (3)$$

$$\Delta G = \Delta H - T\Delta S \quad (4)$$

where R , T and K are the ideal gas constant, the absolute temperature and the binding constant, respectively.

Circular dichroism (CD) spectra

The far-UV CD spectra were recorded, in order to evaluate the structural change of the protein induced by the presence of NMs. CD measurements were performed on a JASCO J-815 circular dichroism spectrometer with a 1 cm path length quartz cuvette at 25°C using a Peltier temperature controller. The concentration of BSA was fixed at 1 μ M whereas the concentrations of NMs correspond to the stoichiometry of protein binding to the NMs obtained from ITC measurements. Three consecutive scans were performed on wavelength range of 190–260 nm, with 1 nm standard sensitivity, band width of 1.00 nm, and rate of 50 nm/min. The spectra were baseline-corrected, and the results are presented as mean residue ellipticity, MRE. NMs present no signal in CD spectra. The secondary structure content of BSA in the absence and presence of NMs was estimated using the Dichroweb online server⁵⁸ with CDSSTR analysis algorithm and reference dataset^{759,60}, as previously reported.⁶¹

Differential scanning calorimetry (DSC) measurements

Nano DSC differential scanning calorimeter from TA Instruments was used for measurements of BSA thermal denaturation in water, in the absence and in the presence of NMs.

For all the measurements the protein concentration was 1.05×10^{-4} M. The NMs-containing samples had the concentrations: 3.89×10^{-8} M for TiO₂ NM-101, 6.12×10^{-11} M for SiO₂ NM-203 and 1.43×10^{-12} M for ZnO NM-110. The resulting systems were kept at 4 °C for 24 hours, before DSC experiments. All measurements were performed at constant pressure of 2 atm in the

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3 temperature range of 298 K to 378 K, with a scanning rate of 1 K min⁻¹. The volume of the cell
4 was 300 μL and the experiment was recorded with minimum response time of 4 sec and short-
5 term noise (RMS Average) of 0.14 μW (2 μcal min⁻¹). The partial heat capacity contribution
6 from water and NMs in water were measured independently and subtracted from those of the
7 individual protein and protein adsorbed onto NMs measurements, respectively. The calorimetric
8 data were corrected for the calorimetric baseline between the initial and the final state by using a
9 sigmoidal baseline from NanoAnalyze software. DSC curves for NMs showed no evidence of
10 endothermic or exothermic transitions over the examined temperature range.

11
12 The reversibility of the thermal transitions was investigated by heating the sample to a
13 temperature just above the transition, followed by cooling and then reheating at the original scan
14 rate. All the thermal transitions of BSA in the absence and in the presence of NMs were found to
15 be irreversible for the whole temperature domain. Decomposition of the obtained thermograms
16 via PeakFit v.4.12 software with Haarhoff-Van der Linde built-in function allowed for the
17 estimation of denaturation enthalpy change, ΔH , and peak denaturation temperature, T_m for
18 transition components.^{62,63} The entropy change, ΔS was calculated from integrated DSC traces,
19 where C_p represents heat capacity as a function of T at constant pressure:

$$\Delta S = \int_{T_2}^{T_1} \frac{\Delta C_p}{T} \quad (5)$$

44 **Analysis of NM protein corona**

46 *Harvesting of the protein corona*

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48 390 μL of dispersed NM (2.56 mg/mL) were mixed with 1410 μL of DMEM without phenol
49 supplemented with 200 μL of Fetal Bovine Serum(FBS) (pre-centrifuged at maximal speed to
50 avoid protein aggregates). Samples were incubated 1 hour at 37°C in the dark.
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3 Tubes containing the NM with the protein corona were centrifuged during 30 min at 13.000 rpm.
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5 Supernatants were removed and the pellets of the NM with the protein corona were re-suspended
6
7 in PBS and transferred to a new tube. Two additional wash-cycles were done before protein
8
9 elution.
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11 12 13 ***MS sample preparation, measurements, and data analysis***

14
15 Samples of harvested protein corona were prepared for MS measurements following an in-
16
17 solution digestion approach with trypsin/LysC. StageTips procedure was used for peptide
18
19 desalting.⁶⁴ Measurements were done using Liquid Chromatography–Electrospray Ionization–
20
21 Tandem Mass Spectrometry (LC–ESI–MS/MS). Further details are included in the Supporting
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23 Information.
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28 ***SDS-PAGE sample preparation***

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30 Samples of harvested protein corona were prepared SDS-PAGE by eluting the proteins in 100
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32 mM Tris pH=7,6; 0,5% SDS, 5% β -mercaptoethanol and protease inhibitors. Proteins were
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34 resolved in 4-12% gradient PAGE (Biorad) and stained with Coomassie blue under suppliers'
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36 recommended conditions.
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40 **Cell culture**

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42 The human alveolar basal epithelial cell line A549 was obtained from the European Collection of
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44 Cell Culture (ECACC). A549 cells were cultured in low glucose DMEM (Sigma) supplemented
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46 with 9% (Alamar Blue and Comet assay) or 10 % (Real-Time RT-PCR) v/v FBS (HyClone™)
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48 and 1 % v/v Penicillin/Streptomycin (10,000 U/mL penicillin and 10,000 IU/mL streptomycin)
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50 (HyClone™) and were kept at 37°C in a humidified, 5% CO₂ atmosphere in 75 or 150 cm² flasks
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52 (Nunc™, Thermo Scientific). The cells were routinely sub-cultured by trypsinization every
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3 second day or when they reached a confluency of about 70-80%. Cultured cells tested negative
4 for mycoplasma (MycoAlert PLUS detection kit, Lonza). For the experiments, cells were used if
5 their viability was above 90%, measured by Trypan blue exclusion (Trypan blue solution, 0.4%)
6 (Invitrogen™, Molecular Probes™) and underwent no more than 15 passages.
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12 13 **Alamar Blue Cytotoxicity assay**

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16 Cytotoxicity testing is integral part of each genotoxicity experiment. Cytotoxicity experiments
17 were performed to calculate LC50 (lethal concentration that causes 50% of cell death) and to set
18 up concentration scale for genotoxicity experiments as also recommended by OECD test
19 guidelines.⁶⁵ A549 cells were seeded on a 96-well plate (10 000 cells/well) the day before
20 exposure to the NMs. The cells were then exposed to the NMs and a series of control substances
21 for 3 or 24 hours. After exposure, the medium was removed and the cells washed once with
22 phosphate buffered saline (PBS), followed by addition of 10% Alamar Blue solution mixed with
23 the culture medium. The cells were treated with Alamar Blue for 3 hours. After incubation,
24 aliquots of the Alamar Blue were transferred to a 96-well plate for fluorescence measurement on
25 a plate reader (excitation 530 nm, emission 590 nm). Results were analyzed by plotting the
26 relative fluorescence intensity in exposed cells versus non-exposed control cells. Blanks, with
27 10% Alamar Blue only, were subtracted from the data.
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44 45 **Comet assay**

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47 A549 cells were seeded on a 96-well plate (10 000 cells/well) the day before exposure to the
48 NMs. The cells were exposed for 3 and 24 hours to freshly dispersed NMs at concentrations
49 between 0.1 and 100 $\mu\text{g}/\text{cm}^2$ (0.16-160 $\mu\text{g}/\text{ml}$) based on LC50 values from both treatment times.
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51 After exposure, cells were washed with PBS, trypsinized and re-suspended in fresh medium. The
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3 miniaturized 12-minigel comet assay was performed as previously described in detail.^{50,65} The
4 cells were mixed with low melting point-agarose (0.8 % w/v, Sigma-Aldrich, 37 °C, 1:3 vol/vol).
5
6 Mini-gels (10 µl) were made on microscope slides pre-coated with 0.5 % standard melting point
7 agarose (05066, Sigma-Aldrich), and submerged in lysis solution (2.5 M NaCl, 0.1 M EDTA, 10
8 mMTris, 10 % v/v Triton X-100, pH 10, 4°C). The modified Enzyme-linked comet assay was
9
10 used with the bacterial repair enzyme Fpg (gift from Professor Andrew Collins, University of
11 Oslo, Norway), which converts oxidized purines to strand breaks (SBs). After lysis, slides with
12 nuclei embedded in gels were washed twice in buffer F (40 mM HEPES, 0.1 M KCl, 0.5 mM
13 EDTA, 0.2 mg/ml BSA, pH 8, 4°C), added Fpg enzyme and incubated at 37°C for 30 minutes in
14 a humid box. The slides were then placed in electrophoresis solution (0.3 M NaOH, 1 mM
15 EDTA, pH > 13, 4 °C), and subjected to electrophoresis for 20 min (25 V, 1.25 V/cm, Consort
16 EV202). Comets images were visualized after staining with SyBr gold (1:2000, S11494, Sigma-
17 Aldrich), and scored in Leica DMI 6000 B (Leica Microsystems), using the software Comet
18 assay IV 4.3.1 (Perceptive Instruments, Bury St Edmunds, UK), calculating median % DNA in
19 tail from 50 comets per gel as a measure of DNA SBs.
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38 **Real-Time RT-PCR**

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41 The real-time RT-PCR assay was performed as described in the NANoREG D5.07 SOP 09
42 TaqMan.⁶⁶ Briefly, cell cultures were collected after a 24 h exposure to NMs, then a total RNA
43 isolation was performed using the Maxwell LEV RNA kit, followed first by the reverse
44 transcription reaction to cDNA and then by amplification (StepOne Plus system). At the end, the
45 relative mRNA expression was calculated for the selected cell death markers, i.e., the TNF
46 receptor super family member 6 (FAS) and receptor (TNFRSF) - interacting serine-threonine
47 kinase 1 (RIPK1), as well as for the selected oxidative stress markers, i.e., superoxide dismutase
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3 1 (SOD1), superoxide dismutase 2 (SOD2), Catalase (CAT) and GSTK1. The gene expression
4 levels were measured relative to the controls. The experiments were performed at least three
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6 times in duplicates. For statistical analysis, the experimental values were compared to their
7
8 corresponding controls using two ways ANOVA ($P < 0.05$) with Tukey's multiple comparison
9
10 using GraphPad Prism 6.0c (GraphPad Software, Inc., USA) (*, $P \leq 0.05$; **, $P \leq 0.01$).
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19 **RESULTS AND DISCUSSION**

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22 A proper understanding of NM- protein interactions is central to describe the biological identity
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24 of a NM and to get first insights into NM reactivity. This was the central motivation to perform a
25
26 case study using three well-characterized NMs from the JRC repository representing three
27
28 important, commercial relevant material classes, namely TiO_2 , ZnO and SiO_2 (Table 1), and to
29
30 describe NM-protein binding thermodynamics and protein stability for an abundant model
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32 protein, BSA. BSA has frequently been mentioned before as a major component of the protein
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34 corona for several NMs, being used as a model protein in numerous studies on protein-
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36 nanoparticle interaction^{10,20,35}. It structurally resembles with the human analog, human serum
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38 albumin (HSA), so, BSA interaction studies could also give insight into interaction of that NMs
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40 with HSA. Furthermore, we aimed to correlate parameters describing the bio-nano interaction to
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42 overall NM toxicity based on the hypothesis that several adverse effects of NMs are assumed to
43
44 be linked to surface reactivity^{13,15,20,35,67}, which in turn is influenced by a biomolecule corona
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46 covering the surface.
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Hydrodynamic diameters and zeta potentials of NMs in suspension

Due to their nanoscale size and surface properties, NMs present an evident tendency to aggregate in order to reduce surface area and the surface energy.⁶⁸ The characteristics of polydisperse samples of NMs before the thermodynamic measurements have been detected from DLS measurements. The mean hydrodynamic diameter *Z*-average provided information on aggregate sizes in aqueous solution. *PdI* is an indicator of the width of particle-size distribution of a sample. The magnitude of the zeta potential (ζ) indicates the degree of electrostatic repulsion between adjacent, similarly charged particles in dispersion, giving some insight into the mechanism of NM size stabilization. A widely cited empirical rule holds that negative zeta potentials, lower than -30 mV, or positive values of zeta potential higher than +30 mV indicate a stable NM suspension.⁶⁹

To evaluate the effect of protein adsorption on the physico-chemical characteristic of the NMs, the hydrodynamic diameter (Table S1) and ζ (Table S2) of the NMs after interaction with BSA were determined and the values in the presence and absence of protein were compared.

The *Z*-average of TiO₂ aggregates (Table S1) was reduced in the presence of BSA (from 493.10±10.02 to 475.50±11.50), indicating an improved dispersion stability in the presence of albumin, which is in accordance with a previous study by Allouni et al.⁷⁰ For SiO₂ NMs in the presence of BSA, even though a small increase of approximately 7 nm in *Z*-average was observed (which is almost within the experimental error), the *PdI* value decreases (from 0.24±0.01 to 0.15±0.03) and indicates a narrow size distribution, as a result of protein adsorption. The *Z*-average value for ZnO NMs decreases considerably in the presence of BSA, namely the ZnO agglomerates shrink from 520 to 147 nm (Table S1). Thus, for the tested protein concentrations we found that BSA prevent the particles from agglomeration. The result is in

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3 agreement with other studies showing that BSA adsorbs on the NMs surface and stabilize the
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5 suspensions.⁷⁰⁻⁷³
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8 The effect of BSA on the NMs dispersions is also revealed by analyzing ζ -potential values of
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10 NMs in the absence and presence of protein (Table S2). In water, the surface for all studied NMs
11
12 is negatively charged and the negative values of ζ around -30 mV for NMs dispersions suggest
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14 the presence of stable aggregates. The adsorption of the protein onto the NM surface brings
15
16 about a modification of the surface net charge with more negative zeta potential values for BSA
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18 complexation with SiO₂ NM-203 and TiO₂ NM-101 and less negative zeta potential value for
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20 ZnO NM-110.
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24 The presence of surface charge on the metal oxide results from two distinct mechanisms, i.e., the
25
26 adsorption of protons or hydroxyls on the surface sites and the deposition of the hydroxylated
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28 metal species from the solution.⁷⁴ Electrostatic interactions have been suggested to be the main
29
30 mechanism involved in the adsorption of BSA to SiO₂ NM-203 and TiO₂ NM-101 systems.⁷⁵⁻⁷⁷
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33 Both NMs, once hydrated, have -OH groups on their surfaces which can interact with the -COO⁻
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35 and -NH₃⁺ groups from the amino acid chain of the protein.^{76,77} In the case of BSA adsorption on
36
37 zinc oxide NM, the value of -19 mV for zeta potential obtained in our study could reflect the
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39 effect of BSA by inhibiting the ions release and thus influencing the contribution of solvated Zn
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41 species binding to the protein.^{56,78,79} Our result it is also consistent with the literature data
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43 reporting values from -15 to -20 mV for different oxides binding serum proteins⁸⁰⁻⁸² suggesting
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45 that in these cases the protein adsorption dominated the surface charge distribution for oxide
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47 NMs. According to Meißner⁷¹, an electrostatic stabilization of the formed NM-BSA complexes is
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49 not possible at these higher values of the zeta potential, the stabilization effect being only of
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51 steric or electro-steric nature.^{71,72}
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3 From zeta potential measurements in the *pH* range 2-8, the isoelectric point was determined for
4 NM-101, NM-203 and NM-110, in the absence and presence of BSA (Figure S1). The IEP values
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6 obtained for NMs in water are consistent with those reported in other studies: IEP values of 2-4
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8 for SiO₂ NM-203⁴³, 6 for TiO₂ NM-101⁸³ and 3.9 for ZnO NM-110.⁴⁵ For BSA-NMs systems,
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10 IEP values are near each other (4.60, 4.61 and 4.65 for systems containing TiO₂ NM-101, SiO₂
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12 NM-203 and ZnO NM-110, respectively). Protein molecules can be adsorbed onto a NM's
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14 surface through electrostatic interaction, hydrophobic interaction, or specific chemical
15
16 interaction. The pH value of the medium determines the type of interaction between protein and
17
18 the NM. When the working pH is far from the IEP, the electrostatic repulsive force overcomes,
19
20 such that agglomeration is suppressed and NMs dispersions are stable (Table S2 and Figure S1).
21
22 IEP of BSA in pure water is at pH 4.72.^{76,84} BSA adsorption at pH values above the IEP of the
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24 protein is expected to be due to electrostatic interactions of positively charged amino acid
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26 residues with negatively charged surfaces.
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34 **Thermodynamic parameters of BSA interaction with NMs**

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36 The thermodynamic nature of the interactions between BSA and the NM was investigated using
37
38 isothermal titration calorimetry (ITC). The binding characteristics for protein-NMs systems,
39
40 namely binding constant K_b , the binding stoichiometry n , the changes of enthalpy ΔH , entropy ΔS
41
42 and Gibbs free energy ΔG have been accurately estimated in order to determine the specific
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44 contributions of the driving forces that dominate the complex formation.
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48 Figure 1 presents the ITC signals for TiO₂ NM-101, ZnO NM-110 and SiO₂ NM-203 interaction
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50 with BSA in water. In these thermograms, the upper panels show the differential power (μ Watts)
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52 versus time, representing the calorimetric response as successive injections of protein solution
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54 are added to the calorimetric cell containing the NMs dispersion. For the BSA-ZnO NM-110
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interaction, the heat signal needs a longer time to reach the baseline, thus the time used between successive injections is 250 s, larger than the time (150 s) used for the other protein–NMs systems. The lower panels of the thermograms depict the binding isotherm, showing heat evolved per mole of added proteins (corrected for the heat of protein and NMs dilution) versus the molar ratio (BSA/NMs) for each injection. The heat profile of BSA-NMs interaction was fitted using the OneSites binding model and the results are presented in Table 2.

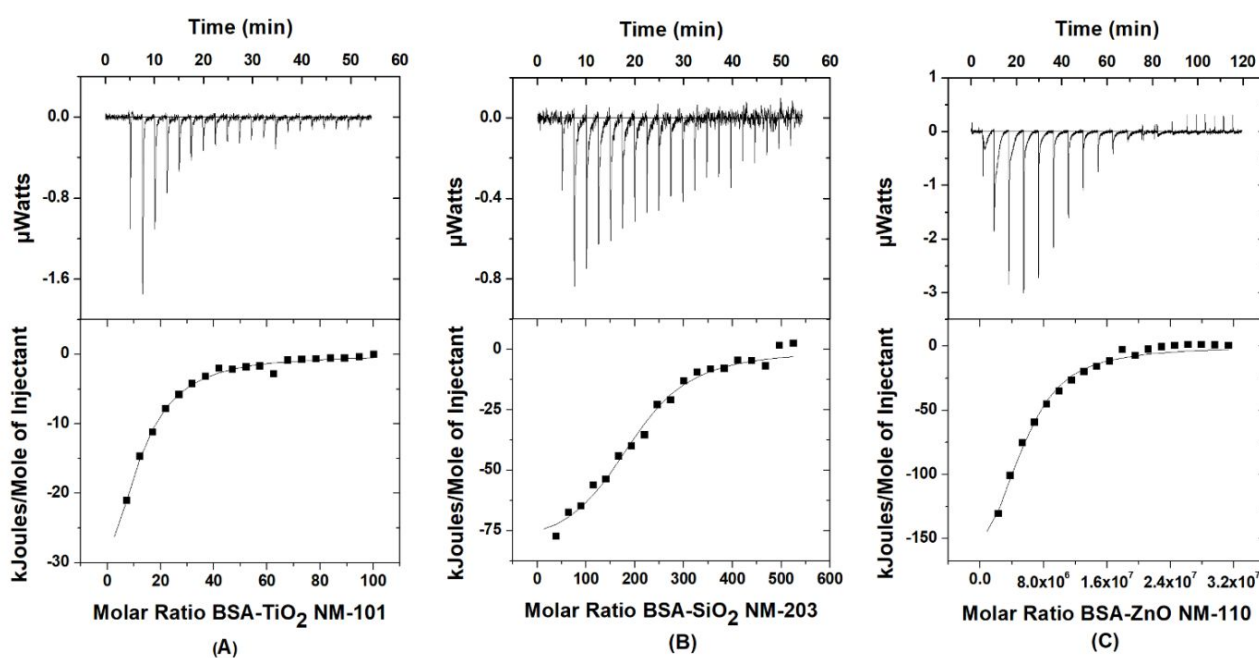


Figure 1. ITC signal for interaction of (A) BSA (0.51 mM) with TiO₂ NM-101 (9×10^{-4} mM); (B) BSA (0.1 mM) with SiO₂ NM-203 (3.35×10^{-5} mM) and (C) BSA (0.51 mM) with ZnO NM-110 (2.88×10^{-9} mM) in water. The continuous line in the lower panel is obtained by fitting of the data with OneSites binding model, after the subtraction of dilution effects of BSA and NMs.

Table 2. Thermodynamic parameters of BSA interaction with NMs in water

System	n	K (M^{-1})	ΔH ($kJ\ mol^{-1}$)	ΔS ($J\ mol^{-1}\ K^{-1}$)	ΔG ($kJ\ mol^{-1}$)
BSA-TiO ₂ NM-101	9.1±1.1	1.1x10 ⁵ ±9.4	-61.1±8.2	-108±27.3	-28.7±0.2
BSA-SiO ₂ NM-203	195±7.3	1.3x10 ⁶ ±2.6x10 ²	-83.7±4.4	-164±14.9	-34.8±0.5
BSA-ZnO NM-110	5.1x10 ⁶ ±4.7x10 ⁵	1.8x10 ⁵ ±34.6 x10 ³	-206±24.5	-592±82.2	-29.9±0.4

*The error for n, K and ΔH represents standard deviation obtained from fitting to OneSites binding model using the Origin 7.0 software provided by MicroCal. The error for ΔS and ΔG were subsequently obtained from standard propagation of the error using the equations reported by Morgunova et al.⁸⁵

The protein binding with all NMs is strongly exothermic and gradually decreases with the increasing number of injections, as the sites available on the surface of the NMs become progressively occupied during titration. The BSA-ZnO NM-110 reaction reaches adsorption equilibrium at higher molar ratio than other systems.

In pure water, the binding stoichiometry, n, is very different as order of magnitude, being around 9 for the BSA-TiO₂ NM-101 interaction, 195 for the BSA-SiO₂ NM-203 system and 5.08x10⁶ for the BSA-ZnO NM-110 system. Previous studies showed that stoichiometry depends on NM size (curvature) and surface characteristics (surface charge, apparent hydrophobicity, surface coating).^{12,86-88} In our study, the lowest stoichiometry is observed for the TiO₂ NM-101 (6 nm), while the highest was seen for ZnO NM-110 (158 nm). This result is in agreement with the previous supposition that at higher curvature, such as in the presence of TiO₂ NM-101, proteins are spatially deflected from their neighbors and crystal contacts cannot form.⁸⁶ Instead, the number of bound protein molecules (stoichiometry) increases for the BSA-ZnO NM-110 system compared with those of the other two systems. In our study, a larger number of protein injections were needed to reach saturation in the BSA-ZnO NM-110 system. For surfaces with low curvature, Lindman⁸⁶ suggested that the adsorbed protein molecules form a two-dimensional

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3 ordered structure reinforced by repetitive “crystal contacts” between proteins. However, if a
4 multilayer adsorption would take place, some detectable steps should be identified as the layers
5 become complete.^{89,90} In our measurements we did not identify during the interaction with ZnO
6 NM-110 (of 158 nm particle size) a stepwise pattern upon adsorption. The reason for this could
7 be that it is only the first layer of protein that shows an enthalpy change upon binding, the
8 adsorption of additional layers occurring with only changes in entropy (the binding being
9 entirely entropy driven these due to the release of water)^{86,91} and is thus not observable by ITC.
10 The interaction would also be governed by the electrostatic charge distribution. It seems that
11 besides the particle size, in terms of binding stoichiometry, the surface charge of ZnO is also a
12 critical parameter. At the pH of our measurements, following the dissolution of ZnO(s) in water,
13 the zinc species that are present in the suspension are $\text{Zn}^{2+}(\text{aq})$ and $\text{Zn}(\text{OH})^+(\text{s})$, which are in
14 equilibrium with the surface hydroxide $\equiv\text{ZnOH}(\text{s})$ or $\text{Zn}(\text{OH})_2(\text{s})$.^{49,90} They may also be
15 responsible for the longer equilibration time between two successive injections. The BSA-ZnO
16 NM-110 is thus a more complex system with the protein acting both as ligand for the suspended
17 NMs and receptor for the solvated Zn ionic species. The large value of stoichiometry for this
18 system may be caused by the interference of solvated species binding on BSA. This contribution
19 singles out ZnO among the investigated NMs.
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42 At the same time, the more hydrophobic nature of ZnO particles should contribute to the
43 increased stoichiometry in the BSA-ZnO system.⁸⁸ The binding equilibrium constants of protein
44 on NMs are in the range of $10^5\sim 10^6 \text{ M}^{-1}$, indicating a moderate-strength interaction. A higher K
45 value is observed for BSA-SiO₂ NM-203 interaction. This trend of the affinity towards higher
46 values for the more hydrophilic particles was also observed for other systems of proteins-NMs.⁸⁶
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54 The binding constant for the BSA-ZnO NM-110 complex is smaller (a lowering by a factor of 7
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3 of the binding affinity is observed) compared to the BSA-SiO₂ NM-203. The observed
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5 differences in adsorption as a function of NM surfaces can be attributed to the availability of
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7 binding sites for hydrogen bonding between protein and NM surface⁷⁶ and to the contribution of
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9 solvated Zn species binding to the protein.⁷⁹ It was shown that the presence of proteins that can
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11 be adsorbed on ZnO NMs surface form a protein corona that can hide chemical groups initially
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13 grafted onto the NM surface, inhibiting the ZnO NMs dissolution and thus modifying the NM
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15 surface charge⁹³ and consequently the interaction effects. This is also consistent with the
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17 literature data reporting significant differences in zinc ions leakage in the presence of serum
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19 proteins, as well as a change of the rate of cellular uptake and cytotoxic effects.^{82,94} We also have
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21 to note that the zeta potential of ZnO in the presence of the protein strongly increased (Table S2).
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24 The enthalpy change was negative in all cases contributing favorably to the free energy of
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26 binding, however, it appeared to be more negative for the BSA-ZnO NM-110 complex.
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28 Overall, unfavorable entropy changes are observed for the complexation of NMs with BSA.
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30 Important unfavorable contribution to the entropy change may arise from the conformational
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32 restriction of the flexible amino acid residues upon complexation²³, when the entropy increase
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34 due to desolvation is not large enough to recover the entropy loss due to solute freedom
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36 reduction. Although the decrease in entropy appeared lower for BSA-SiO₂ NM-203 and BSA-
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38 TiO₂ NM-101 binding comparatively with that of the BSA-ZnO NM-110 complex, this gets
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40 adequately compensated by enthalpy, and overall the binding reaction is enthalpically driven.
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42 The large favorable value of the enthalpy ($\Delta H < 0$) and the unfavorable contribution of the
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44 entropy ($\Delta S < 0$) indicated that hydrogen bonds and van der Waals forces played major roles in
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46 the binding process. The reaction is mainly enthalpy-driven⁹⁵, the enthalpic character decreasing
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48 in the order: ZnO NM-110 > SiO₂ NM-203 > TiO₂ NM-101.
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3 The binding free energies (ΔG) for all systems are negative, showing the spontaneity of BSA
4 binding to NMs surface. The free energy lowering (-4.9 kJ mol^{-1}) of the BSA-SiO₂ NM-203
5 binding corresponds to the observed increase by a factor of 7 in binding affinity, which can be
6 attributed to a more energetically favorable packing of native BSA. It was also shown that the
7 changes in adsorption of the BSA on the NMs should be associated with some structural
8 rearrangements/unfolding of the protein during adsorption.^{23,96,97} This is consistent with the CD
9 and DSC data described in the following sections.

20 **Protein conformational changes upon binding to NMs**

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22 To further investigate the protein conformational changes upon binding to NMs, CD
23 spectroscopy has been used. The CD spectra of BSA in the presence of NMs are shown in Figure
24 2 (A) and display two maxima, at 208 and 222 nm, characteristic to preponderant α -helical
25 structure of protein, in good agreement with previous CD measurements.^{23,88,98} The BSA
26 secondary structure content (α -helix, β -sheets, turns and unordered) in the absence and presence
27 of NMs was determined using the Dichroweb online server and is presented in Figure 2 (B).

28
29 In the UV-CD spectrum, the increase in the ellipticity value is consistent with a loss of 8-10% of
30 BSA α -helical structure when SiO₂ NM-203 and ZnO NM-110 are present. β -structure increased
31 from 9% for native BSA, to 20% for BSA-SiO₂ NM-203 and 24% for BSA-ZnO NM-110. This is
32 a clear indication of a partial denaturation of the polypeptide chain.^{99,100} Also, high amounts of
33 unordered structure in the presence of NMs and low amounts of turn structure could suggest that
34 the protein undergoes adsorption on NMs' surface.⁷⁶

35
36 The loss of BSA α -helical structure was also reported by Bhogale et al.¹⁰¹ and Bhunia et al.¹⁰² for
37 the adsorption of BSA on ZnO NMs, as well as by Kondo et al.¹⁰³ for the adsorption of BSA on
38 colloidal silica NMPs, the differences between the reported alpha helix content for BSA

adsorbed onto SiO₂ and ZnO NMs being due to the different particle size, as well as the environmental pH.

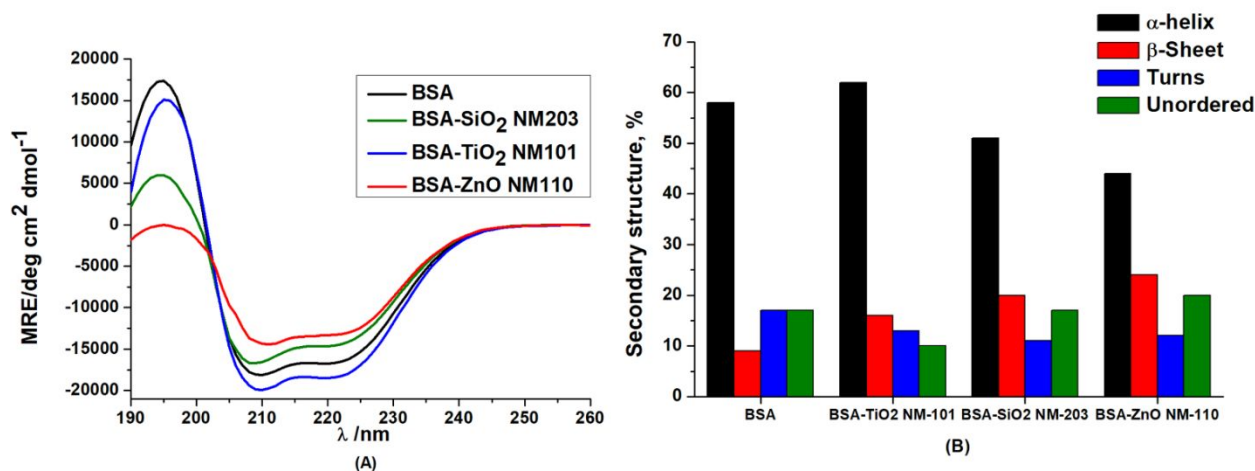


Figure 2. (A) CD spectra of BSA in the absence and presence of NMs. (B) Secondary structure content of BSA in the absence and presence of NMs obtained using the CDSSTR analysis algorithm and reference dataset 7 from Dichroweb.

A different behavior was observed when TiO₂ NM-101 interacted with BSA: the ellipticity at 208 and 222 nm decreased, suggesting that new α -helices are formed (the α -helix content increased with 4%) at the expense of an unordered structure of the protein. Although the increase in α -helicity appears modest, one can at least suppose that in the presence of TiO₂ NM-101 the protein is not completely unfolded, which is also supported by the only modest increase in β -sheet content by TiO₂. It was reported before that smaller NMs had a higher retention of native-like protein structure and function than their larger counterparts.^{19,104,105}

The change in the protein's secondary structure should be associated with changes in the adsorption of the protein on the NM surface. The changes in the α -helix content in the adsorbed phase are expected to result from the change in hydrogen bond networks^{76,106}, in agreement with the enthalpic nature of binding obtained from ITC. To relate the observed conformational

changes to the denaturation stability, the thermodynamic parameters of thermal denaturation of bound proteins have been evaluated.

Effects of NMs on protein thermal stability

The thermodynamic investigation of protein stability in the presence of NMs and the evaluation of the thermodynamic parameters of thermal denaturation of bound proteins has been performed using a NanoDSC calorimeter (TA Instruments). Figure 3 displays the heat capacity change with the temperature for the thermal denaturation of BSA, free in water and adsorbed onto different NMs.

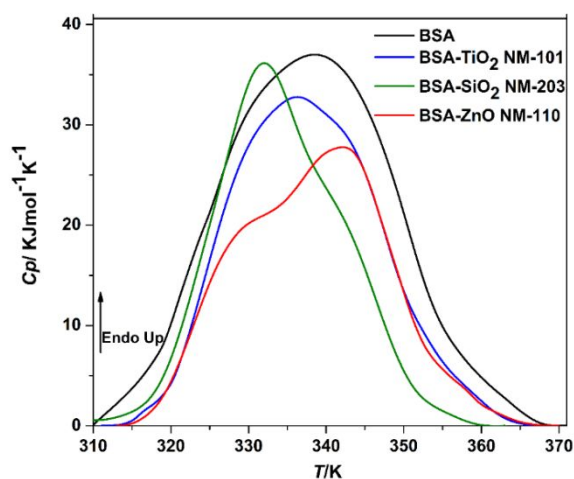


Figure 3. DSC scans of BSA thermal unfolding (1.05×10^{-4} M) in the presence of TiO_2 NM-101 (3.89×10^{-8} M), SiO_2 NM-203 (6.12×10^{-11} M) and ZnO NM-110 (1.43×10^{-12} M) in water.

It is known that the BSA molecule is folded into three domains in the native state¹⁰⁷ and it is possible that these domains unfold within slightly different temperature ranges.¹⁰⁸ To obtain detailed information about thermodynamic properties of the investigated systems, a deconvolution of DSC traces was performed (Figure 4). From the PeakFit decomposition, two

components of thermal denaturation of BSA in water were observed (Figure 4A). The first transition involved about 72% of the unfolding heat of albumin.

According to previous hypothesis, in aqueous solutions the two peaks observed in the DSC curve of BSA correspond to the unfolding of structurally independent parts of the molecule^{107,109}, namely the carboxyl-terminal fragment containing domain III and the greater part of domain II unfold at lower temperatures, before the unfolding of smaller amino-terminal fragment consisting of domain I and a small part of domain II.

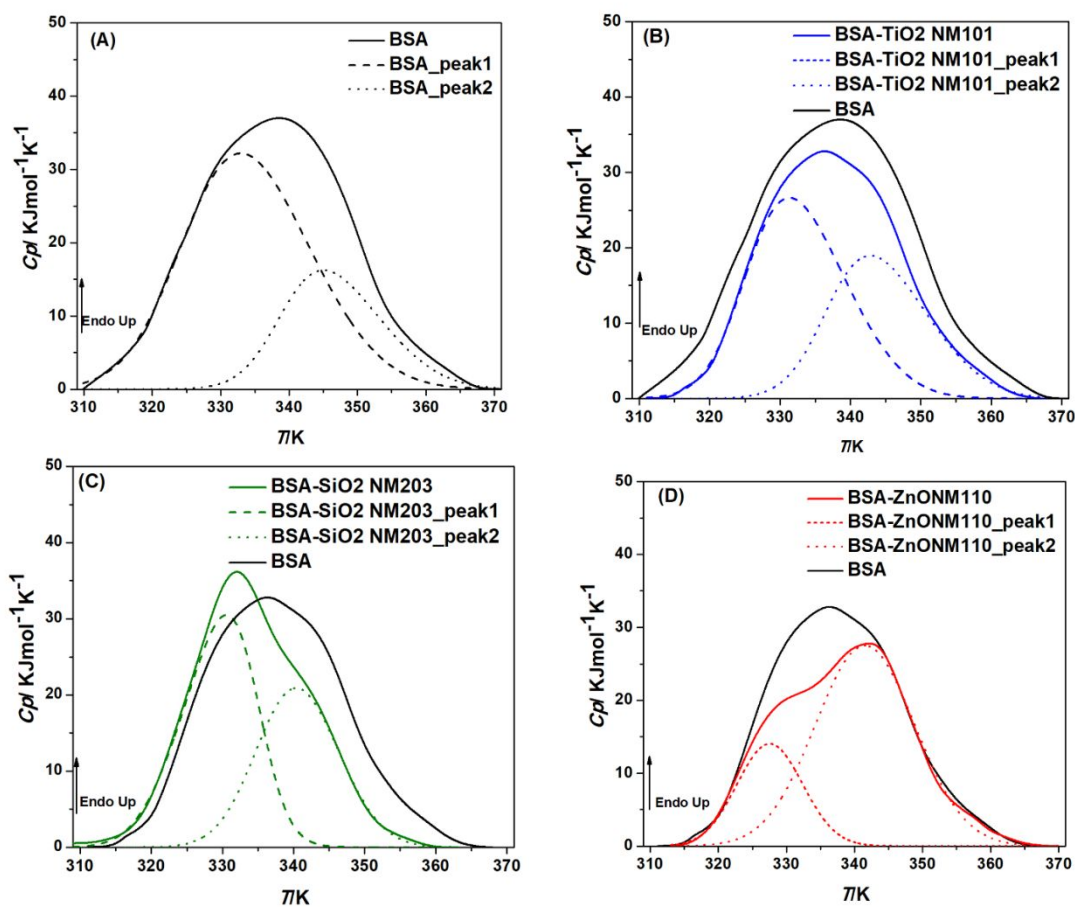


Figure 4. PeakFit decomposition of the thermal unfolding signal of BSA in water (A) and in the presence of TiO₂ NM-101 (B), SiO₂ NM-203 (C) and ZnO NM-110 (D). The raw data are represented by solid lines and PeakFit components by dashed lines.

The temperatures at half-peak area and the calorimetric enthalpy corresponding to both components of transition for the investigated systems calculated from the DSC data are shown in Table 3. The denaturation temperature (T_m) is indicative of the protein stability and ΔH_{total} is associated with energetically favourable intramolecular interactions in the protein.

Table 3. Transition temperatures and thermodynamic parameters for unfolding (\pm SE*) obtained from PeakFit decomposition of DSC

Thermodynamic parameters	BSA	BSA-TiO ₂ NM-101	BSA-SiO ₂ NM-203	BSA-ZnO NM-110
T_{m1} (K)	332.9 \pm 0.09	331.3 \pm 0.08	330.6 \pm 0.04	327.5 \pm 0.1
T_{m2} (K)	345 \pm 0.1	342.8 \pm 0.09	340.4 \pm 0.1	341.5 \pm 0.1
ΔH_1 (kJ mol ⁻¹)	754.7 \pm 4.3	469.2 \pm 3.2	400.4 \pm 3.2	169.3 \pm 2.8
ΔH_2 (kJ mol ⁻¹)	295 \pm 4.3	336.6 \pm 3.1	303.8 \pm 3.3	502.4 \pm 2.9
ΔH_{total} (kJ mol ⁻¹)	1049.7 \pm 8.6	805.8 \pm 6.3	704.1 \pm 6.5	671.7 \pm 5.8
ΔS_1 (J mol ⁻¹ K ⁻¹)	2252.4 \pm 0.1	1409.7 \pm 0.1	1216.4 \pm 0.1	516.4 \pm 0.1
ΔS_2 (J mol ⁻¹ K ⁻¹)	850.4 \pm 0.1	977.1 \pm 0.1	891.8 \pm 0.1	1471.4 \pm 0.1
ΔS_{total} (J mol ⁻¹ K ⁻¹)	3102.9 \pm 0.2	2386.9 \pm 0.1	2108.2 \pm 0.2	1987.7 \pm 0.2

*SE represents standard error of the fitting parameters given by PeakFit.

As it can be seen, the denaturation temperatures T_{m1} and T_{m2} shift toward lower values for both components of BSA denaturation following adsorption on NMs (Table 3), indicating that the thermal stability of the protein decreases in the adsorbed state. The corresponding enthalpy changes of the first component of denaturation ΔH_1 for the BSA-NMs systems (Table 3, Figure 4) were significantly reduced showing partial unfolding of the protein during adsorption, which were confirmed by CD data. This effect is more evident in the presence of ZnO NM-110. The result agrees with the supposition that the low temperature peak corresponds to the collapse of the N-terminal BSA domain, which bares the major unbalanced negative charge.¹¹⁰ Instead, in

the case of the second component of transition, larger enthalpy values are seen for the BSA NMs systems (Table 3, Figure 5), suggesting that the conformation of the terminal fragment involved in the unfolding at higher temperature adsorbed onto the surface was more stable than that of the protein in solution.

A larger deviation from the ΔH_2 value of the native protein was also observed for the BSA-ZnO NM-110 system. Nevertheless, because the contribution of the enthalpy corresponding to the second peak of thermal denaturation to the total calorimetric enthalpy of BSA was lower (~22%) compared to that related to the contribution of the first component (~78%), the total heat of unfolding, ΔH_{total} was significantly reduced in the presence of NMs, the highest decrease being noted for the BSA-ZnO NM-110 system (Table 3, Figure 5). The decrease in unfolding enthalpy indicates a partial unfolding of BSA in the adsorbed state. Based on the DSC results, the order of decreasing for the structural stability should be ranked as follows: TiO₂ NM-101 > SiO₂ NM-203 > ZnO NM-110, in agreement with the results of the CD measurements.

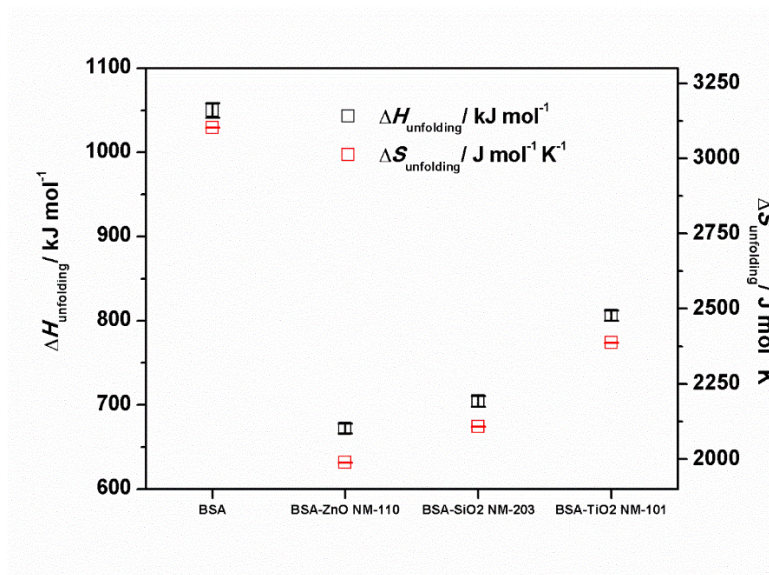


Figure 5. Thermodynamic parameters (ΔH_{total} and ΔS_{total}) \pm SE obtained for BSA unfolding in the absence and presence of NMs

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3 Entropy can be considered a very useful parameter in understanding the stability of proteins.
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5 When a protein is deactivated, the randomness of the system increases which is a direct measure
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7 of entropy. It was also being reported that the entropy values provide information regarding the
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9 relative degree of solvation, likely the degree of compactness. From the Table 3 and Figure 5 one
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11 can observe that the trend in the evolution of the entropy changes for the two components of
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13 transition is somewhat similar with that registered for the enthalpy changes: for BSA-NMs
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15 systems the ΔS_1 are reduced and ΔS_2 are increased compared with the corresponding values of
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17 the native protein, but the total entropy value ΔS_{total} is reduced upon adsorption.
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21 The results may be explained by an ordering of the solvent molecules. However, due to the
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23 positive values of the entropies ($\Delta S > 0$) obtained in this study it has been concluded that the
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25 rearrangements within the protein molecule are also involved in the unfolding process during the
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27 adsorption of BSA. The major decreasing of the denaturation entropy in the presence of ZnO
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29 NM-110 (by $1115 \text{ J mol}^{-1} \text{ K}^{-1}$) is consistent with CD data showing that large structural changes
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31 occurred in the presence of ZnO NM-110.
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36 **MS-proteomics**

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39 Next, we have chosen to perform a MS-proteomics experiment to obtain a comprehensive insight
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41 of the physiological interaction of the NM with serum proteins. To this end, we incubated during
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43 one hour the NM with 10% of FBS at 37°C . Protein corona was harvested and we detected then
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45 the identity and the relative content of proteins present in the protein corona around TiO_2 NM-
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47 101, ZnO NM-110 and SiO_2 NM-203. Three biological replicates were considered.
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50 Using MaxQuant¹¹¹, we quantified 334 proteins in total, by a minimum of two peptides, of which
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52 one at least is a unique peptide, with a false discovery rate being $<1\%$ on protein and peptide level.
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3 There is a remarkable difference of the relative content of BSA in the protein corona of the
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5 different NMs, as well of the content of other proteins, particularly apolipoproteins (Figure S3).
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7 Protein corona on TiO₂ NM-101 showed the highest relative albumin content, well above 75%,
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9 and a minimum of apolipoproteins, which does not exceed 2%. On the other hand, in the case of
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11 silica NM-203, the amount of apolipoproteins in the protein corona exceeds that of BSA (Figure
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13 S3). Other studies also indicate that silica NMs preferentially interact with plasma proteins other
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15 than serum albumin.^{104,112} ZnO NM-110 proved to be an intermediate case among the other
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17 evaluated particles and contains twice as much albumin than apolipoprotein in the corona. Figure
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19 6 displays the relative BSA content in the protein corona of TiO₂ NM-101, ZnO NM-110 and
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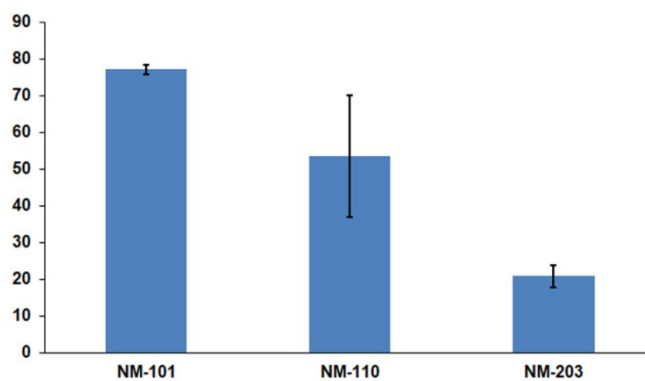


Figure 6. Relative content of BSA present in the protein corona of evaluated NMs, showing the standard error (SD) calculated from three biological replicates.

To estimate the total amount of BSA present in the protein corona around the evaluated NMs, we performed an SDS-PAGE with the corona proteins around the evaluated NMs (Figure S4). The different NMs were incubated exactly under the same condition as for the proteomics experiment; however elution of the proteins from the NMs was achieved without tryptic digestion. This experiment allows for an estimation of the total content of BSA. It can be

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3 concluded that SiO₂ NM-203 contains between 2 and 5 μg of BSA, while TiO₂ NM-101 and ZnO
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5 NM-110 contain well less than 1 μg, being the amount of total BSA less in TiO₂ NM-101 than in
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7 ZnO NM-110. The total amount of BSA in the NM corona analyzed by SDS-PAGE proved to
8
9 follow a decreasing order from SiO₂ NM-203 > ZnO NM-110 > TiO₂ NM-101.
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12 It should be emphasized, that this result does not contradict the observations from the proteomics
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14 experiments. Quantitation from SDS-PAGE allows a comparison of the BSA content among
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16 samples, while the proteomics experiment does not permit the comparison among different
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18 samples, but just the ratio of the BSA content relative to the total protein content in the corona of
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20 one particular NM.
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23 Our data are in agreement with previous results showing that protein binding do not simply
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25 correlate with their relative abundance and that NM type, size, and surface properties can play a
26
27 significant role in determining the composition of the corona.¹¹²⁻¹¹⁵ It is reasonable to assume that
28
29 smaller proteins like BSA are enriched on small NMs like TiO₂ NM-101, affecting the
30
31 composition of the corona,¹¹² but it cannot be concluded that TiO₂ NM bind more total BSA than
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33 the other NMs. In addition, the reaction pathway is different depending on the changes in protein
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35 stability in the NM presence,¹⁹ smaller NMs having a higher retention of native-like protein
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37 structure and function than their larger counterparts.^{19,104,105} This statement is consistent with our
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39 results showing enhanced protein stability during the adsorption of BSA on TiO₂ NM-101.
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43 Furthermore, the trend in decreasing of total amount of BSA in the NM corona analyzed by
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45 SDS-PAGE (SiO₂ NM-203 > ZnO NM-110 > TiO₂ NM-101) matched the trend in the binding
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47 affinity obtained from ITC measurements: an increasing by a factor of 16 of the binding constant
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49 is observed for the BSA-SiO₂ NM-203 complex, and by a factor of 11 for the BSA-ZnO NM-
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51 110, comparatively with the BSA-TiO₂ NM-101 system (which correspond to the free energy
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3 lowering of -2.1 kJ mol^{-1} , respectively 1.2 kJ mol^{-1}). This analysis demonstrates the importance
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5 of the thermodynamic profile/signature of protein -NMs interaction in understanding the
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7 complex phenomena at bio-nano interface driving the formation of the protein corona.
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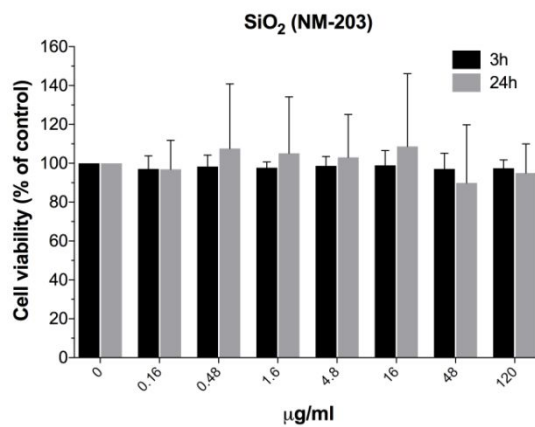
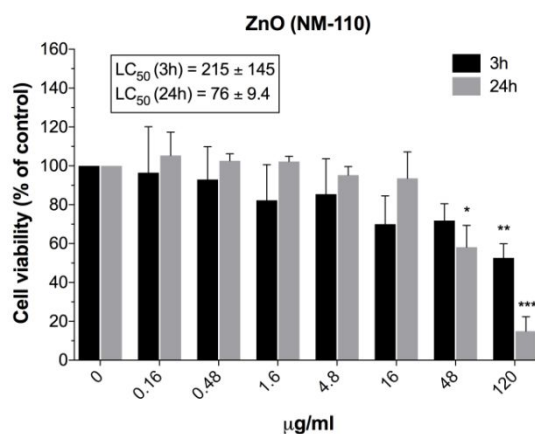
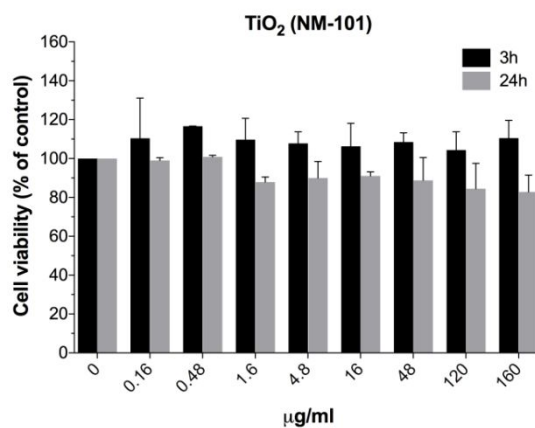
10 11 **Cytotoxicity and genotoxicity of the NMs**

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13 The genotoxicity of NMs is regarded as a particularly important aspect of NM toxicity, as DNA
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15 damage can lead to mutation and potentially to the development of cancer. If mutation occurs in
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17 germ cells it could cause serious birth defects. DNA damage can be the result of direct
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19 interaction of NMs with the DNA, or can result from indirect effect of the induction of oxidative
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21 stress³⁷. Oxidative stress has been described as a key mechanism underlying the ability of NMs
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23 to cause DNA damage.³⁷ The enhanced production of reactive oxygen species (ROS) can lead to
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25 DNA base damage and is considered as one of the most important mechanisms for genotoxicity
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27 of NMs.³⁶

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29 For genotoxicity, several endpoints at different DNA organization levels can be assessed, e.g., SBs,
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31 DNA base damage, point mutation, large chromosomal damage or aneuploidy. The most common
32
33 method to detect potential genotoxicity of NMs is the alkaline comet assay that detects SBs. SBs
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35 can be induced after exposure to genotoxic compound but also appear during cell death.
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39 In order to obtain meaningful results on genotoxicity, cytotoxicity must always be assessed to set
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41 up LC50 and to select appropriate concentrations. Thus, when assessing SBs it is crucially
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43 important to expose cells in non cytotoxic concentrations to demonstrate that increased DNA
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45 damage reflects to genotoxicity and not cytotoxicity, e.g. to DNA fragmentation in apoptotic
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47 cells.⁶⁴ Alamar Blue® measures cell viability through a colorimetric response to the intracellular
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49 reducing metabolism of living cells. Our Alamar Blue® data show that only the ZnO NM-110
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51 induced cytotoxic effects on the A549 cells (Figure 7) with calculated LC50 values of 215 and
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3 76 $\mu\text{g}/\text{ml}$ after 3 h and 24 h exposure, respectively ($113 \mu\text{g}/\text{cm}^2$ and $35 \mu\text{g}/\text{cm}^2$). The data show
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5 that in case of 24 h exposure lower concentration is needed to kill 50% of cell compared to 3h
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7 exposure.
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3 **Figure 7.** The effect of the NMs on cell viability of A549 cells exposed for 3 and 24h,
4 respectively. The results are shown as mean (\pm SD) from two (ZnO NM-110 and TiO₂ NM-101)
5 or three independent experiments (SiO₂ NM-203) performed in duplicate. Asterisks indicate
6 significant different effects on cell viability analyzed by ANOVA followed by Dunnet posttest
7 (* p <0.05, ** p <0.01, *** p <0.001). The data for ZnO NM-110 is from⁵⁰. ZnO LC50 values are
8 calculated for both treatment times.
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18 In the Enzyme-linked comet assay, Fpg converts oxidized purines to SBs that could be measured
19 by the comet assay. Both ZnO NM-110 and SiO₂ NM-203 induced DNA oxidation lesions
20 (Figure 8). DNA damage was primarily observed after 3 hours of exposure, which implies that
21 extensive DNA repair was initiated following the exposure. Formation of oxidized purines,
22 which is an indication of DNA damage induced by oxidative stress, was estimated by calculating
23 the net DNA damage as the difference in % DNA in tail between samples with Fpg incubation
24 and samples without incubation.
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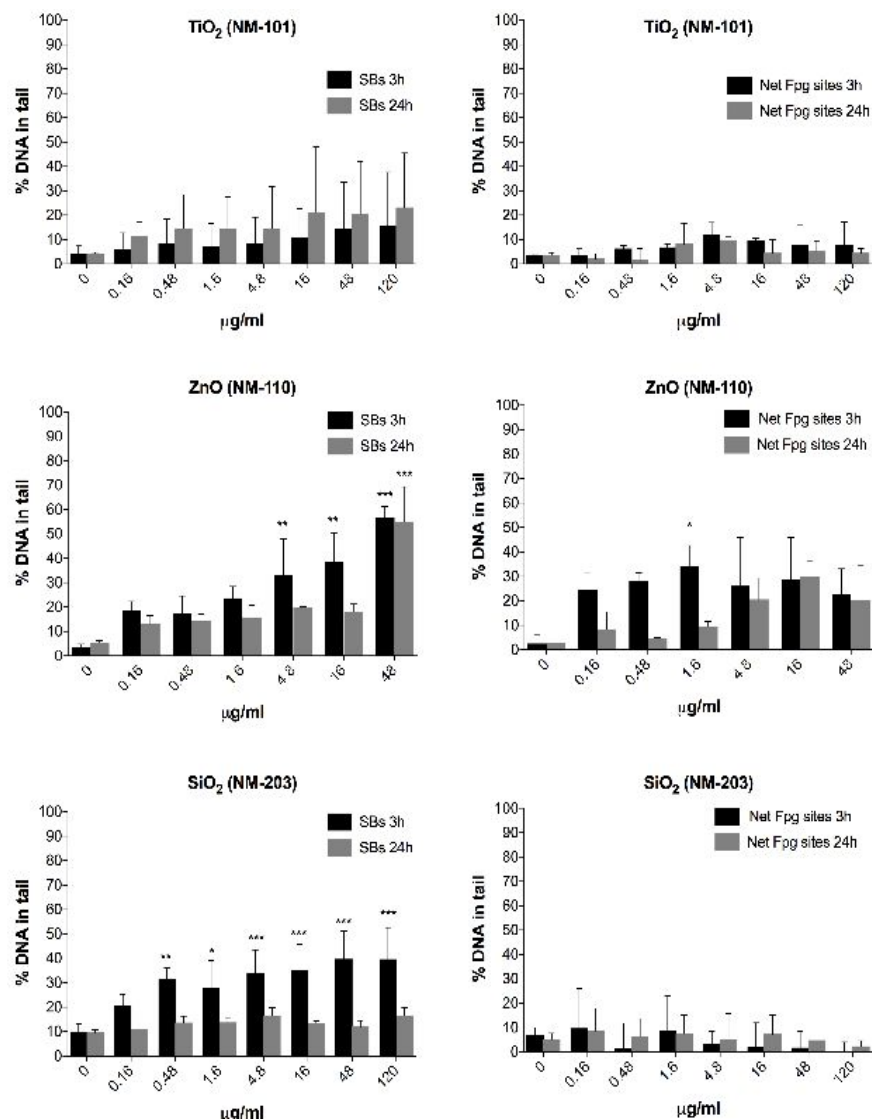


Figure 8. DNA damage of NM tested with the comet assay on human lung epithelial A549.

Relative amount of DNA in the comet tail represents the amount of DNA strand breaks (SBs).

Net Fpg represents the modified version of the comet assay applying the end nuclease for

detection of oxidized purines, and is calculated as the DNA damage in Fpg treated cells

subtracted DNA damage in cells without Fpg incubation. The results are shown as mean of the

median of duplicate wells from each of two (TiO₂ NM-101 and ZnO NM-110) or three

independent experiments (SiO₂ NM-203). Asterisks indicate significant effects analyzed by

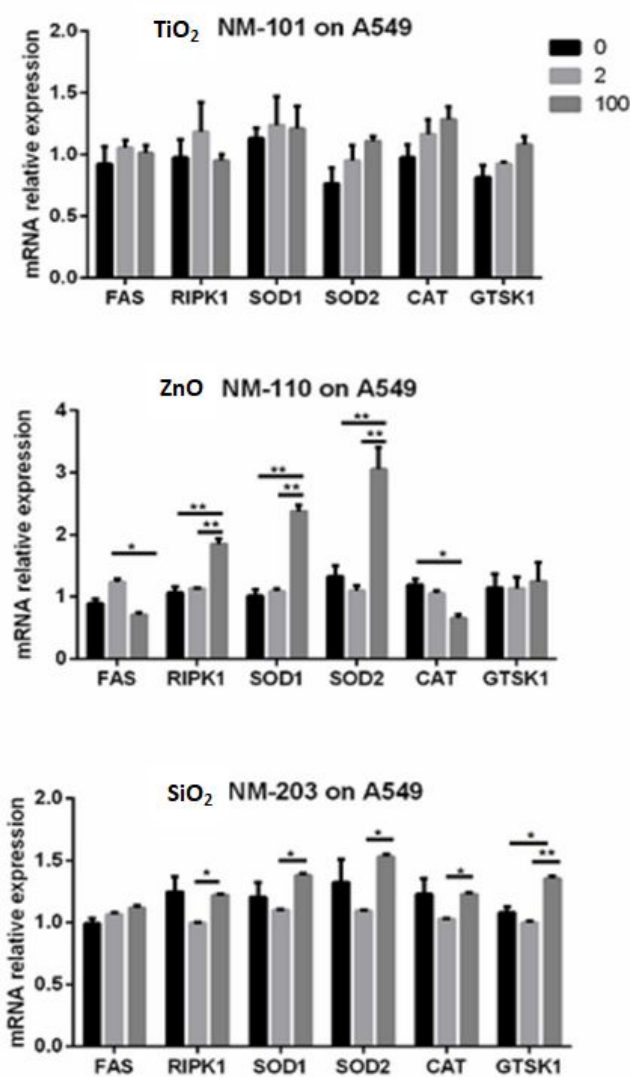
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3 ANOVA followed by Dunnet posttest (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$). The data for ZnONM-
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5 110 is from ⁵⁰.

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9 There was a slight increasing trend in DNA oxidation in the cells exposed to ZnO. The variation
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11 in the effect was, however, very large and the results should be interpreted with caution. Overall,
12
13 it appears that the genotoxic effect of the selected NM, can be arranged in the order of ZnO
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15 being the most genotoxic followed by SiO₂ and TiO₂ as the least genotoxic (ZnO NM-110 >
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17 SiO₂ NM-203 > TiO₂ NM-101). These results correlate with the trend in variation of
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19 thermodynamic parameters previously discussed and suggest that the thermodynamic parameters
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21 at the bio-nano interface should be important determinants for genotoxicity responses.
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25 26 **Effects of NMs on gene expression**

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28 The real-time RT-PCR assay revealed a significant upregulation of the cell death marker RIPK1
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30 following exposure to ZnO NM-110 at 100 $\mu\text{g/ml}$ (50 $\mu\text{g/cm}^2$). The highest upregulation of
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32 markers for defense against oxidative stress was seen for SOD1 and SOD2 as a result of
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34 exposure to ZnO NM-110 at 100 $\mu\text{g/ml}$ (50 $\mu\text{g/cm}^2$), while a moderate upregulation was
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36 observed for GSTK1 as a result of exposure to SiO₂ NM-203 at 100 $\mu\text{g/ml}$ (50 $\mu\text{g/cm}^2$), as
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38 compared to unexposed cells. Exposure of A549 cells to TiO₂ NM-101 did not have any
39
40 significant effect on cell death and antioxidant gene expression (Figure 9). The ranking of the
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42 NMs with respect to the cell death and oxidative stress inducing potential is: ZnO NM-110 >
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44 SiO₂ NM-203 > TiO₂ NM-101. These results follow the same ranking order seen in NMs'
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46 influence on the BSA structural stability (associated with the variation of enthalpic character of
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48 binding), indicating that NMs' toxicity may be linked to their effect on the structural stability of
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50 BSA.
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Oxidative stress emerges as an important candidate in explaining the overall toxicity ranking, as can be seen from the significant upregulation of SOD1 and SOD2 at the highest concentration of ZnO NM-110, as well as from the increasing trend in DNA oxidation in the cells exposed to ZnO NM-110 (Figure 8 and Figure 9). This hypothesis is further strengthened by the structural modifications suffered by BSA, which were more pronounced when BSA was in contact with ZnO NM-110, that may have diminished its antioxidant capacity.



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3 **Figure 9.** Overview of gene expressions for several cell death (FAS and RIPK1) and defense
4 against oxidative stress (SOD 1, SOD 2, CAT and GSTK1) markers following the exposure of a
5 human epithelial lung cancer cell line (A549) for 24 h to TiO₂NM-101, ZnO NM-110 and
6 SiO₂NM-203 at 2 and 100 µg/ml (corresponding to 1 and 50 µg/cm²). The relative mRNA
7 expression was normalized to the house-keeping gene GAPDH and test groups were compared
8 with the control (0 µg/ml) group. All data is presented as the mean ± standard error (SE) of at
9 least three independent experiments performed in duplicates. The ranking based on cell death
10 markers and markers for defense against oxidative was: ZnO NM-110 > SiO₂ NM-203 > TiO₂
11 NM-101. (* P ≤ 0.05, ** P ≤ 0.01, ANOVA (P < 0.05) with Tukey's multiple comparison).
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25 **Relationships between the thermodynamic properties at nano-bio interface and the**
26 **dominant contributions determining the adsorption processes and NMs toxicological effect**

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30 In the present study, the protein-NMs interaction and dominant contributions determining the
31 adsorption processes were studied for a set of metal oxide NMs with different core composition,
32 having different particle size and crystal structure. At the same time, they are representative for
33 the most assessed NMs, being extensively reviewed in the latest years.^{19, 65,116-119} In the complex
34 context related to the risk assessment of MNM, the initiatives and different specific
35 recommendation for a mandatory minimum criteria and characterization points prior
36 genotoxicity testing have been discussed.¹²⁰⁻¹²⁵ A variety of factors (e.g. surface area, size,
37 surface properties, shape, aggregation, agglomeration, solubility) were reported to influence the
38 mechanisms contributing to their toxicity and several key physico-chemical descriptors
39 (measured, as well as calculated ones) showing a good correlation with genotoxicity have been
40 proposed for a range of NMs.^{11, 119, 120, 125-127} However, identification of key parameters driving
41 toxicity is a complex and difficult task, especially due to some “context-dependent” physico-
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3 chemical property changes.^{6,14, 128} Thus, for an appropriate interpretation of the toxicity tests, it is
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5 not sufficient to characterize the intrinsic properties of NMs only, because the biological
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7 responses to NMs are highly affected by the dynamic physicochemical interactions at the bio-
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9 nanointerface, the cytotoxicity and genotoxicity of NMs depending on their interaction with the
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11 surrounding environment, e.g. on their protein binding properties.^{20, 118-121}
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15 It has been shown that the biointeraction tendency of nanoscale surfaces results from their need
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17 to reduce their surface energy by binding available biomolecules,^{11,16} thermodynamics of
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19 exchanges between NMs surfaces and the surfaces of biological components driving the
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21 formation of the protein corona³⁵. The changes in interactions that occur upon NMs binding are
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23 reflected in the changes of enthalpy (ΔH) and entropy (ΔS), which in turn determine the free
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25 energy of binding, according to Gibbs law of free energy(see Equation 4). Therefore, ΔH and ΔS
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27 are being considered as the driving factors for protein–ligand binding.
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31 Structural rearrangements, electrostatic interactions, dehydration, dispersion interaction have
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33 been mentioned in previous reports ^{14,16,17,91} as being important contributions to the energetic
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35 parameters determining adsorption processes and, consequently having implications for NMs'
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37 biological impact. In our work, by applying a combination of techniques, such as isothermal
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39 titration calorimetry, circular dichroism and differential scanning calorimetry, specific effects
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41 driving adsorption during the interaction between BSA and the metal oxides TiO₂ NM-101, SiO₂
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43 NM-203 and ZnO NM-110 were evaluated (Figure 10).
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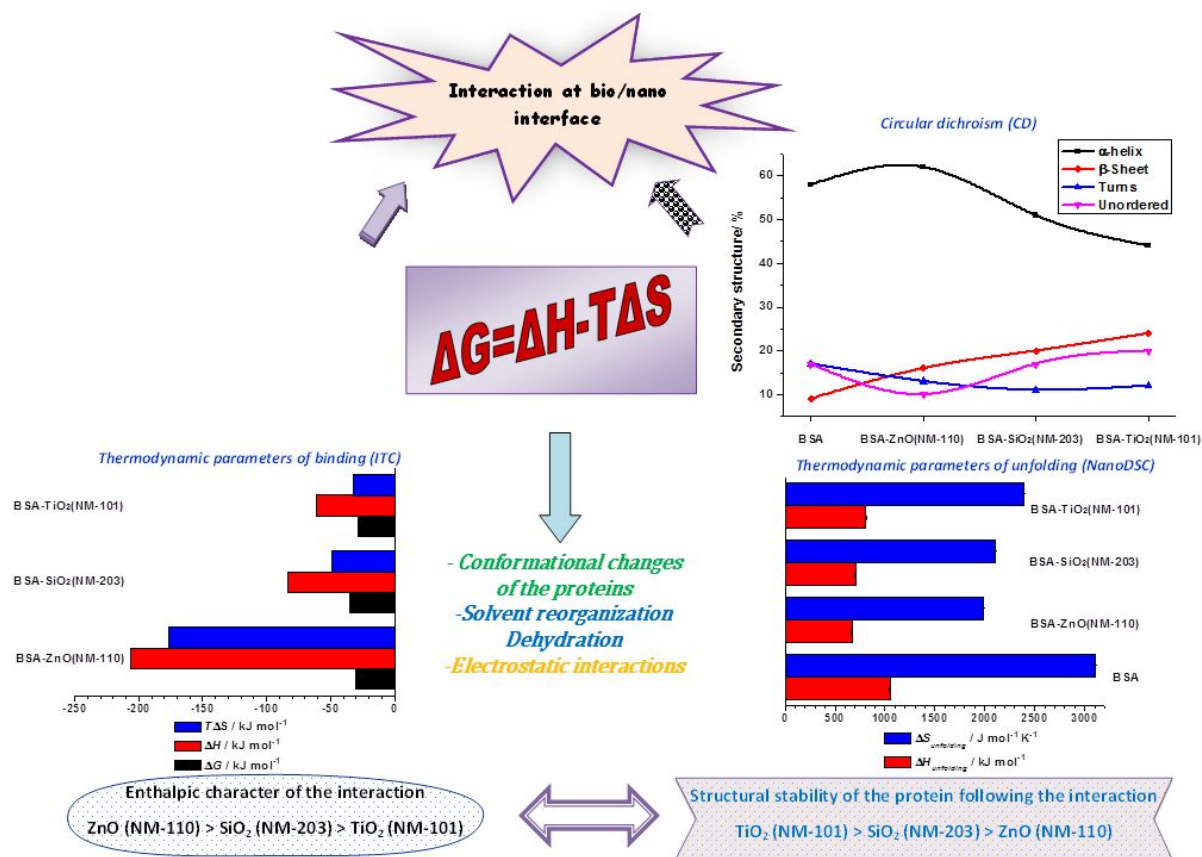


Figure 10. Correlation between thermodynamic properties at bio-nano interface and the dominant contributions determining adsorption processes during protein-NM interactions

From the thermodynamic viewpoint, the formation of noncovalent bonds is exothermic ($\Delta H < 0$), while the disruption of structurally well-defined solvent shells is endothermic ($\Delta H > 0$).^{127, 129} In our study, a large negative enthalpy change, resulting from multiple favorable noncovalent interactions between association partners was observed for all NMs, the enthalpic character decreasing in the order: ZnO NM-110 > SiO₂ NM-203 > TiO₂ NM-101.

The enthalpy driven protein binding processes usually result in significant protein conformational changes. The structural rearrangements/unfolding of the protein during adsorption examined in our study by CD and DSC, provide a general physical picture and a quantitative description of the energetics of the adsorption-induced unfolding of protein on NMs

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3 surfaces. The results indicate that the actual extent of protein conformational change in these
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5 protein layers are strongly correlated with the changes in energetic parameters, the decreasing
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7 structural stability of the protein following the trend: TiO_2 NM-101 > SiO_2 NM-203 > ZnO NM-
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9 110. In turn, this interaction and the resulting changes of the protein structure will affect the
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11 protein corona configuration mediating binding to the cell surface.
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15 In a series of cellular binding competition studies performed on different BSA-NMs systems and
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17 on different cell types, Fleischer et al.²³ relate the changes of BSA secondary structure that result
18
19 from adsorption of protein on NM surface to the observed cellular binding trends. When BSA
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21 adsorbed on NMs retains its native structure (such as the BSA- TiO_2 NM-101 system investigated
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23 by us) this will allow the BSA-NM complexes to be recognized by the native albumin receptor
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25 and cellular binding of complexes is inhibited by the presence of excess BSA. When BSA
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27 structure is disrupted, likely partially denatured (e.g. BSA-ZnO NM-110 and BSA- SiO_2 NM-203
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29 systems), then the complexes will bind to receptors with an affinity for modified albumins, the
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31 cellular binding being enhanced by BSA, thus affecting the cellular responses and biological
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33 outcomes. More than that, the results of binding affinity obtained from ITC measurements
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35 showing enhanced values during the adsorption on SiO_2 NM-203 and ZnO NM-110 are in
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37 agreement with the higher values of the total amount of BSA in the corona of these NMs
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39 comparative with the value estimated by SDS-PAGE for TiO_2 NM-101.
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45 Given that, in our study, the same protein results in different degree of cellular outcomes. The
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47 ranking for the biological alterations obtained in our study, i.e., DNA SBs, oxidized DNA
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49 lesions, cell-death and changes in expression of antioxidant defense genes in A549 cells matched
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51 the enthalpic component of the protein-NM interaction, corroborated with the BSA structural
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53 stability.
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3 To our knowledge, our report is the first work providing a model system to unravel the
4 relationship between the bio-nano interface thermodynamic parameters (describing both the
5 binding interaction and protein structure stability), protein corona configuration and cellular
6 responses.
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13 CONCLUSIONS

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16 For the present case study, the thermodynamic parameters at bio-nano interface emerge as key
17 descriptors for the dominant contributions determining the adsorption/binding processes and
18 NMs toxic effect.
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23 The thermodynamic characteristics of the BSA binding to NMs indicate that BSA adsorption
24 onto NMs' surface is an enthalpy-controlled process, the enthalpic character (favorable
25 interaction) decreasing in the order: ZnO (NM-110) > SiO₂ (NM-203) > TiO₂ (NM-101). The
26 large favorable value of the enthalpy ($\Delta H < 0$) and the unfavorable contribution of the entropy
27 ($\Delta S < 0$) indicated that hydrogen bonds and van der Waals forces played major roles in the
28 binding process.
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37 The enthalpy and entropy changes were balanced to get a favorable free energy change ($\Delta G < 0$)
38 for all systems, showing the spontaneity of the interaction. The binding equilibrium constants of
39 the BSA protein on NMs were in the range of $10^5 \sim 10^6 \text{ M}^{-1}$, indicating a moderate interaction.
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44 The changes in the adsorption of BSA on the NMs were associated with some
45 rearrangements/unfolding of the protein during adsorption, the results speaking in favor of the
46 correlation between the increasing of the enthalpic character of interaction with the decreasing of
47 protein's structural stability.
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53 The interaction at bio-nano interface and the resulting changes of the protein structure affect the
54 protein corona configuration mediating the binding to the cell surface. Thus, the trend in the
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3 binding affinity and the trend in the complex stability evidenced by ITC showed a good
4 correlation with the trend of the total amount of BSA in the NMs corona analyzed by SDS-
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8 PAGE.

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10 The toxicity of the NMs appears to be linked to the thermodynamic profile/signature of the
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12 protein-NM interactions. Thus, the ranking order for the enthalpic character of the protein-NM
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14 interaction and for NMs' influence on the structural stability of BSA matched the NM ranking
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16 based on cytotoxicity, genotoxicity and gene expression data.

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19 Overall, the thermodynamic parameters governing the interaction of BSA with the NMs at the
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21 bio/nano interface are important factors in determining the extent of NM interaction with cells
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23 and provide clues for understanding the biological consequences of these different protein corona
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25 configurations.

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28 At this point further studies are in progress for a systematic investigation focusing specifically on
29
30 the interaction between different variants of TiO₂, SiO₂ and ZnO NMs and selected proteins.

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33 Thermodynamic data will be used to analyze the effect of different variables, e.g., NM type,
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35 particle size, crystalline structure, and hydrophobicity on the binding characteristics and to
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37 evaluate the interplay between the energetic parameters at the bio-nano interface and
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39 toxicological outcomes of the NMs. It is a complex task requiring robust, detailed and precise
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41 experimental data. Data generation/gathering and a better understanding of this relationship is
42
43 crucial for nanosafety issues and biomedical applications.
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47 48 **ASSOCIATED CONTENT**

49 50 51 **Supporting Information**

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53 Details of DLS and Zeta potential measurements for ITC analysis, Protocol for NMs
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55 dispersion used in mass spectrometry measurements, MS sample preparation, measurements,
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3 and data analysis, Liquid Chromatography–Electrospray Ionization–Tandem Mass
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5 Spectrometry (LC–ESI–MS/MS), Protein Identification (relative content of proteins detected
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7 by mass spectrometry and total amount of BSA present in the protein corona of NMs as
8
9 resolved by SDS-PAGE), Protocol for NM dispersion for toxicity testing, Physicochemical
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11 properties of NMs in 0.05% w/v BSA-water (stock solution) and in exposure medium
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13 (DMEM + 10% FBS v/v).
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18 This material is available free of charge via the Internet at <http://pubs.acs.org>
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38 **Authors' contributions**

39
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