

# 1 Long-term temporal trend of PCBs 2 and their controlling sources in China

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## 21           **Abstract**

22 Polychlorinated biphenyls (PCBs) are industrial organic contaminants identified as persistent,  
23 bioaccumulative, toxic (PBT) and subject to long-range transport (LRT) with global scale  
24 significance. This study focuses on a reconstruction and prediction for China of long-term emission  
25 trends of intentionally and unintentionally produced (UP)  $\sum_7$ PCBs (UP-PCBs, from the manufacture  
26 of steel, cement and sinter iron) and their re-emissions from secondary sources (e.g., soils and  
27 vegetation), using a dynamic fate model (BETR-Global). Contemporary emission estimates  
28 combined with predictions from the multimedia fate model suggest that primary sources still  
29 dominate, although unintentional sources are predicted to become a main contributor from 2035 for  
30 PCB-28. Imported e-waste is predicted to play an increasing role until 2020-2030 on a national scale  
31 due to the decline of IP emissions. Hypothetical emission scenarios suggest that China could become  
32 a potential source to neighbouring regions with a net output of  $\sim 0.4$  t year<sup>-1</sup> in the case of 7 PCBs  
33 around 2050. However, future emission scenarios and hence model results will be dictated by the  
34 efficiency of control measures.

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36   **Keywords:**

37 Polychlorinated biphenyls; primary emissions; secondary emissions; multimedia fate model;  
38 controlling sources

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

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## 47 **1 Introduction**

48 Polychlorinated biphenyls (PCBs) are industrial organic contaminants identified as persistent,  
49 bioaccumulative, toxic and subject to long-range transport (LRT) with global scale significance. They  
50 are among the twelve persistent organic pollutants (POPs) initially regulated by the Stockholm  
51 Convention<sup>1</sup> in order to protect environmental and human health from these hazardous compounds.  
52 The cumulative global production of PCB was approximately 1.3 million tonnes with only ca 10  
53 thousand tonnes produced in China since 1965.<sup>2</sup> These chemicals were mainly emitted as a direct  
54 result of intentional historical production, use and disposal of products or accidental release.<sup>3</sup> Though  
55 they have been banned for several decades, they are still of great concern because of the legacy of  
56 past usage, their persistence in the environment, bioaccumulation in biota and potential toxicity.<sup>4,5</sup>

57 PCBs can be emitted from both primary and secondary sources. Primary sources account for the main  
58 direct releases of PCBs to the environment from their major use categories while secondary sources  
59 represent the re-emission from environmental reservoirs including soils, sediments and other  
60 contaminated compartments. Secondary sources can be viewed as “capacitors” that were charged  
61 with pollutants deposited from the atmosphere when emissions were higher and may now be net  
62 sources to the atmosphere.<sup>5</sup> In industrialized countries, primary emissions of PCBs to the environment  
63 peaked in the early 1970s and largely occurred through leakage and losses from the PCB-containing  
64 products and systems. More recently, secondary sources have been demonstrated to represent a  
65 significant fraction among the total source inventory, especially in some remote areas.<sup>5</sup> Under such  
66 conditions, the reduction in primary emissions may not be directly apparent in declining atmospheric  
67 concentrations due to on-going releases from secondary sources. Therefore, an understanding of both  
68 primary and secondary emissions is a prerequisite to successful control measures.

69 The production volume of PCBs in China accounts for approximately 1% of the global production.<sup>6</sup>  
70 However, China has received PCBs from long-range atmospheric transport (LRAT) and trans-  
71 boundary movement of e-waste products containing PCBs.<sup>7</sup> Therefore, the release of PCBs into the

72 environment could be a combination of both primary and secondary emissions. Several studies  
73 suggested that contaminated soil could be a secondary source, particularly contributing to low  
74 molecular weight PCBs.<sup>8, 9</sup> Seasonal patterns of air-soil exchange have been observed when net  
75 volatilization occurred in summer.<sup>9-11</sup> Therefore, the relative significance of primary and secondary  
76 emission is still under debate.

77 Primary PCB emissions into the atmosphere can be from intentionally produced (IP-PCB) and  
78 unintentionally produced PCBs (UP-PCBs) formed during industrial thermal processes.<sup>12, 13</sup>  
79 Emissions trends of IP-PCBs have been predicted by Breivik and his co-workers on a global scale  
80 and show a constantly decreasing trend since the middle of the 1970s when production was phased  
81 out.<sup>2, 3, 14</sup> This emission inventory was recently updated to cover the e-waste contributed IP-PCBs.<sup>15</sup>  
82 On the other hand, since the ban on manufacture and use of commercial products containing PCBs,  
83 UP-PCBs are likely to have become more important.<sup>16</sup> Hogarth *et al.* (2012) reported that ambient air  
84 concentrations in China have increased by one order of magnitude over the period 2004 to 2008.<sup>17</sup>  
85 This is mainly linked to widespread industrial thermal process (e.g., thermal processes of producing  
86 steel, cement and iron ore).<sup>16, 17</sup> As the economy in China grows, there is an increasing demand for  
87 construction materials such as steel and cement. China has contributed around 45% of global steel  
88 production and become the world's largest consumer of iron ore since 1993.<sup>18</sup> Consequently, the  
89 temporal trends and historical/future contribution of UP-PCBs needs to be explored further. To  
90 understand which factors are controlling PCB burdens in environmental compartments in China, it is  
91 important to quantify the relative significance of primary emissions (controllable) versus secondary  
92 emissions (uncontrollable). An overestimate of the primary emissions may lead to costly and  
93 inefficient control measures, whereas an underestimation of the secondary emissions will result in an  
94 over-optimistic assessment of recovery rates following primary emission reductions.<sup>19</sup> A further  
95 important question would be what are the most important primary sources, 'intentional' or  
96 'unintentional' and do these overlap? These questions are of key interest for policy makers since it

97 will affect their perception of the need to reduce or eliminate primary emissions and the effectiveness  
98 of emission reduction strategies.

99 The main aims of this study were 1) to simulate the individual contribution of primary sources (from  
100 imported e-waste and IP/UP-PCBs emission) and secondary sources; 2) to evaluate modelling results  
101 in air and soil with limited observations in China; 3) to provide suggestions to policy makers on  
102 rational control measures for PCBs. These objectives were achieved by using the BETR-Global  
103 fugacity-based model,<sup>20</sup> a dynamic level IV fate and transport model, which has been evaluated and  
104 applied successfully for a range of organic contaminants, including PCBs.<sup>20-23</sup>

## 105 **2 Methods**

### 106 **2.1 Emission data and selected PCBs**

107 In this study, the emission, fate and transport, covering both intentionally and unintentionally  
108 produced PCBs, were modelled under several scenarios for seven indicator  $\sum_7$ PCBs (PCB-28, 52,  
109 101, 118, 138, 153, and 180). These congeners were selected due to their representative  
110 physicochemical properties and contribution in technical mixtures of PCBs.<sup>24</sup> The distribution of e-  
111 waste emission was accounted based on the e-waste location in China.<sup>15</sup> Other assembled emission  
112 data were distributed into a  $1^\circ \times 1^\circ$  latitude/longitude grid system using a global population density  
113 as a surrogate.<sup>25</sup> The physicochemical properties of selected congeners are presented in Table S1.<sup>26,</sup>  
114 <sup>27</sup>

#### 115 **2.1.1 IP-PCBs emission in China**

116 The recently revised global emission inventory by Breivik and co-workers was utilized in this study,<sup>15</sup>  
117 using a dynamic mass balance/flow analysis to calculate 22 IP-PCBs from 1930 to 2100.<sup>2, 3, 14</sup> This  
118 emission inventory was recently developed to additionally account for the transport of e-waste.<sup>3, 15</sup>  
119 Scenarios of baseline-IP and worst-case IP with or without considering imported e-waste as detailed  
120 in elsewhere.<sup>15</sup> They are used to explore the relative contribution of PCBs from imported e-waste to  
121 China.

### 122 **2.1.2 UP-PCBs emission in China**

123 Three major UP-PCB types were identified as representing dominant contributions to UP sources,  
124 which capture more than 90% of known UP-sources so far.<sup>12, 13</sup> These were cement kilns, electric arc  
125 furnaces (EAF) used in steel making and the sintering process, also used in steel production.<sup>16</sup> There  
126 is a potential underestimation of UP-PCBs emissions, since there are other UP-PCB sources (e.g.,  
127 coking, secondary aluminium production, and thermal power stations) that have not been  
128 considered.<sup>13</sup> Consequently, two scenarios were used to explore this potential uncertainty: (1) the  
129 default scenario using measured emission factors;<sup>28</sup> and (2) a ‘high’ scenario using the measured  
130 emission factors multiplied by a factor of 10 as a conservative assumption, since emission inventories  
131 may often be uncertain by at least an order of magnitude.<sup>29</sup> These emission factors were assumed  
132 constant over time during each simulation.

133 Three source types (IP-PCB, UP-PCB and secondary sources) were considered for past and future  
134 emission scenarios. The secondary sources were calculated using the BETR Global model as  
135 described in detail in section 2.3. The recorded (<http://www.stats.gov.cn/tjsj/ndsj/>, accessed on  
136 27/09/2015) and estimated production volume of cement, EAF produced steel and sinter iron ore  
137 between 1930-2100 are illustrated in Figure S1. The estimated annual emission data was assigned  
138 onto a  $1^{\circ} \times 1^{\circ}$  grid map using population density as a surrogate.<sup>25</sup> These estimates just represent a first  
139 approximation, which may not be appropriate for some large plants located near sources of raw  
140 materials and thus, would not correlate with population density.

### 141 **2.2 Selected fate model and study region**

142 The BETR-Global model was used to predict the fate and distribution of PCBs with a spatial  
143 resolution of  $15^{\circ}$  latitude  $\times$   $15^{\circ}$  longitude and 288 grid cells. It was selected due to its relative coarse  
144 resolution. Since the population density was used as a surrogate to the UP-PCBs emission with high  
145 uncertainty. The coarse resolution of BETR-Global could potentially “even out” this simplification.  
146 Each grid cell consists of seven bulk compartments, which are ocean water, fresh water, planetary  
147 boundary layer (PBL), free atmosphere, soil, freshwater sediments and vegetation.<sup>20</sup> The model

148 accounts for advective transport between the regions by air/ water and inter-compartment transport  
149 processes such as dry and wet deposition and reversible partitioning.<sup>21</sup>

150 The model simulations were performed at a global scale during the period 1930~2100 using a  
151 dynamic level IV structure that assumes non-steady state conditions. The study region focussed on  
152 China as shown in **Figure S2**. The temperature in the upper and lower atmosphere is taken from the  
153 NCEP/NCAR reanalysis of climate data  
154 (<https://www.esrl.noaa.gov/psd/data/reanalysis/reanalysis.shtml>). They are 15° x 15° averages for the  
155 years 1960 - 1999. Multi-year model simulations repeat the same cycle of environmental conditions.  
156 Only emission to the lower air compartment was considered. The initial model concentration in all  
157 compartments was assumed to be zero.

### 158 **2.3 Estimation of source-receptor relationships**

159 Multiple emission inventory scenarios were investigated to explore different source-receptor  
160 relationships. The employed emission profiles were defined as: 1) baseline-IP: no imported e-waste  
161 and 5% of the disposed e-waste subject to open burning; 2) worst-case IP scenario: considering  
162 imported e-waste and the fraction of open burning is 20%. The scenarios of baseline-IP and worst-  
163 case IP were defined in detail elsewhere.<sup>15</sup> They are used to explore the relative contribution from  
164 imported e-waste to China; 3) default (IP+UP): UP-PCBs and worst-case IP-PCBs sources combined,  
165 with calculated UP-PCBs using measured emission factors<sup>16</sup>; 4) worst case (IP+UP): high scenario  
166 combined worst-case IP-PCBs and “high” UP-PCBs using a factor of 10 as defined in section 2.1.2,  
167 to explore the uncertainty of emission factors for seven UP-PCBs.

168 First of all, to examine individual contribution from imported e-waste and UP-PCBs, the emission  
169 scenarios of baseline IP, worst-case IP and default (IP+UP) were investigated by allowing  
170 contaminants from both primary and secondary emissions in environmental reservoirs. Secondly, to  
171 distinguish primary and secondary sources, the default (IP+UP) scenario was repeated with re-  
172 emission from the ‘blocked’ surface compartments. The ‘blocked processes’ from surface-to-air



173 included diffusion from soil, water and vegetation to air, as well as re-suspension from soils via dust  
174 and from oceans via marine aerosol production.<sup>30</sup> Thirdly, to explore the role of China in its global  
175 context (sink or source), the model was also run using only the emission estimated within China  
176 (regional emission) while the emission to other parts of the world was disabled (extra-regional  
177 emission). The Chinese emission part was extracted from the global emission inventory according to  
178 eight selected grids.

## 179 **3 Results and Discussion**

### 180 **3.1 Evaluation with measurements**

181 Firstly, the modelling results were evaluated using available measurement data to build confidence  
182 for further model exploration. A model such as the one presented here can only be evaluated to a  
183 limited extent, especially for a region where measurement data is scarce. However, it is also useful to  
184 assess the accuracy of model predictions where possible. The output from the model with the default  
185 scenario (IP+UP), over a limited period, was compared with available measured PCB data in air and  
186 soil. As the BETR-Global model does not provide information on urban-rural gradients, model  
187 predictions were compared against observed background concentrations. Atmospheric PCBs  
188 concentrations have been measured in China over the last decade in rural and urban sites.<sup>31,32</sup> Surveys  
189 providing PCBs concentration data in background soils have been conducted in 2005 and 2013<sup>33,34</sup>  
190 and normalized by total organic carbon (TOC). For comparisons to be made with studies that do not  
191 distinguish between PCB congeners 28 and 31, PCB-28 was assumed to account for 55%.<sup>27</sup> This is a  
192 reflection of the composition of the technical mixtures.

193 Figures S11 ~ S13 compare predicted and observed time trends in air and soil for PCB congeners.  
194 This comparison suggests that the model generally captures the main trends in observations over the  
195 period 2001 to 2008. The agreement between predicted and observed air concentrations is better for  
196 heavier PCBs than for the lighter congeners (PCB-28/52). Most modelled concentrations are within  
197 a factor of three compared to the limited observations in background air. The model tended to

198 underestimate the atmospheric concentrations for PCB-28 and PCB-52 with the largest difference  
199 occurring in 2001 by a factor of seven for PCB-52. This could be due to underestimated emission  
200 from local sources.<sup>35</sup> The peak concentration, which occurred around 1970 predicted by the model, is  
201 difficult to confirm with measurements. However, several preliminary findings from dated sediment  
202 cores could potentially support the model estimation. The historical trend was observed to increase  
203 until the mid-1970s in a dated sediment core from the Yangtze River Estuary adjacent to the East Sea  
204 region and Pearl River Delta.<sup>36, 37</sup> Predicted concentrations increase again from the 1980s, mainly  
205 associated with the imported electrical equipment containing PCBs and e-waste recycling activities  
206 in nearby regions.<sup>36, 37</sup>

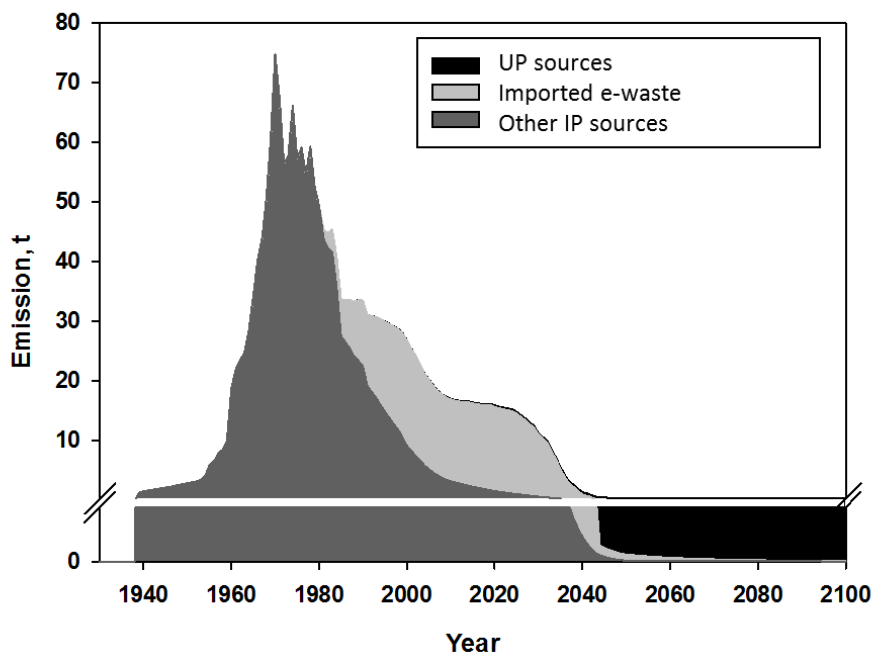
207 Soil responds much slower to changes in emissions than air, especially for the heavier and more  
208 persistent PCB congeners. Measured surface soil concentrations from 2005<sup>33</sup> and 2013 in forest soil<sup>34</sup>  
209 were compared with model predictions and agreed well, within a factor of 4 except for tri-PCB  
210 congeners, although the measured concentrations varied over a wide range. Soil data showed similar  
211 results with the largest deviation observed for PCB-28 for both studies, indicating the greater  
212 underestimation of soil concentrations by over a factor of 100. This may be caused by the combined  
213 effect of parameter uncertainty (e.g. soil depth and organic content) and/or unaccounted emissions.  
214 The measured data was limited to two sampling years: 2005 and 2013, but it showed evidence of a  
215 decrease for PCB-28 and PCB-101. However, for PCB-138 and PCB-153, an increase was observed  
216 from 0.28 to 0.42 ng/g OC (dw) for PCB-138 and from 0.09 to 0.31 ng/g OC (dw) for PCB-153.  
217 These differences are small but could be attributed to the more recalcitrant nature of heavier PCB  
218 congeners.<sup>38</sup>

219 The homologue profile of PCBs (Figure S10) during the simulation period is also compared with  
220 observations. The predicted change in homologue trend is generally consistent with the measured  
221 profile.<sup>38</sup> Many studies have been conducted around heavily polluted areas (i.e. 'hotspots'), and much  
222 less data are available in background regions. Therefore, the high spatial variability of PCB

223 concentrations in soil with relatively low numbers of measurements at the background sites makes it  
 224 difficult to draw a reliable conclusion. A much larger dataset would be required to establish reliable  
 225 ranges for background concentrations to determine the whole picture of POPs pollution in China.

### 226 **3.2 Temporal trend of UP-PCBs in China**

227 The predicted time trends for past and future emissions of 7PCBs as well as their individual  
 228 contribution from imported e-waste and unintentionally sources are illustrated in Figure 1. Profiles  
 229 for other congeners are presented in Fig S3. Since the optimum scenario of unintentional-sources is  
 230 difficult to confirm with measurements, the default scenario (IP + UP) based on measured emission  
 231 factors was assumed to be the most representative of reality and used for further discussion. In  
 232 addition, the impact of an uncertainty factor of 10 on UP emissions from  $\sum_7$  PCBs was also explored  
 233 (see Figure S3).



234

235 Figure 1. Predicted trends of total PCBs emission in China from 1930 to 2100 under the default  
 236 scenario (IP+UP). The black area indicated the emission from UP sources; light grey area indicated  
 237 the emission from imported e-waste and dark grey area presented emission from other IP sources.

238

239 The cumulative emission of intentionally produced  $\sum_7$ PCBs from 1930 to 2040 was extracted from  
240 Ref 16 and estimated at 2300 tonnes in China (illustrated in Figure 1) with future emissions estimated  
241 to be about 2 tonnes from 2040 to 2100. Emissions of  $\sum_7$ UP-PCBs were predicted to be 9.5 tonnes  
242 between 1949 and 2040. However, their future emissions (2040-2100) were estimated around 23  
243 tonnes under the default scenario with measured emission factors. Therefore,  $\sum_7$ UP-PCB emissions  
244 only account for a minor portion of the total PCB emission, approximately 0.4% during the period of  
245 1930-2040. However, they are predicted to play an increasingly important role in the near future  
246 (2040-2100) accounting for up to 91% of the  $\sum_7$  PCB (UP+IP) emissions.

247 The predicted atmospheric concentrations were almost identical for the three emission scenarios, over  
248 the period 1930 to 2010 for  $\sum_7$ PCBs (see Figure S4). This further supports the assumption that UP-  
249 PCBs did not contribute significantly over that period. After 2010, however, predicted air  
250 concentrations started to diverge for each congener, attributed to different congener abundances  
251 among the UP-PCB sources. In addition, the identification of markers could be informative for future  
252 monitoring activities. Previously, PCB-118 was demonstrated to be a good marker congener to  
253 describe and evaluate the emission trends from the industrial thermal process, since it falls in both  
254 classes of dioxin like PCBs (dl-PCBs) and indicator PCBs.<sup>16</sup> On the other hand, PCB-28 was also  
255 demonstrated to have a significant correlation with seven congeners.<sup>12</sup> In this study, both relationships  
256 were explored for PCB-28 and PCB-118, and a correlation coefficient ( $R^2$ ) of 0.98 and 0.90 was  
257 observed ( $p < 0.001$ ), respectively. Therefore, PCB-28 was suggested to be a useful indicator congener  
258 for atmospheric PCBs concentrations from three considered emission sources.

259 For UP sources, PCB-28 was the dominant congener of the  $\sum_7$ PCBs emission, accounting for  
260 approximately 78% during 1930-2100. It also contributes about 28% of the  $\sum_7$ PCBs (IP+UP)  
261 emissions over the period dominated by IP-PCBs (1940-2010). The historical predominance of IP-  
262 PCB-28 was anticipated as tri-PCBs were dominant in commercial mixtures used in China.<sup>24</sup>  
263 Predicted atmospheric concentrations of PCB-28 show the largest difference under three scenarios as

264 defined in Section 2.3, which is up to six orders of magnitude (Figure S4). This difference is minimal  
265 for PCB-153 in Figure S4, which suggests that UP sources are more important for lighter PCBs (PCB-  
266 28/52) than heavier ones (PCB-138/153), contributing less than 50% to concentrations in air. In  
267 addition, atmospheric concentrations of different congeners will be dominated by unintentional  
268 sources at different times. For example, as presented in Figure S4, PCB-28 is predicted to be  
269 dominated by UP-PCB sources from 2035, due to high abundance in emission sources, while PCB-  
270 52 will be dominated by UP sources after 2040 with a relatively gradual shift.

### 271 **3.3 Contribution from imported e-waste**

272 The trans-boundary movements of e-waste from developed countries to developing countries has  
273 made it a potentially substantial inventory and emission source of PCBs.<sup>7, 15</sup> Therefore, the  
274 contribution of imported e-waste was explored to identify its influence (national or regional in China).  
275 The cumulative emissions from imported e-waste are predicted to contribute around 30% to the total  
276 emissions for seven congeners during 1930-2100. PCB-180 received the highest percentage (45%)  
277 from imported e-waste. In terms of the cumulative atmospheric concentration in different study grids  
278 (see Fig S3), the contribution of e-waste was largest for Grid 116 (which included most e-waste  
279 recycling sites in South China), making up more than 30% of all congeners.

280 The influence of e-waste varied in different sampling years as illustrated in Figure S5. The import of  
281 e-waste into China started around 1980. It is obvious that the Grid 116 received the highest burden in  
282 atmosphere contributed by the imported e-waste, since the main e-waste recycling sites (e.g., Guiyu  
283 and Qingyuan) with informal recycling activities are located here.<sup>39</sup> Evident regional differences are  
284 predicted in terms of influence from imported-waste, e.g., Grid cell 66 (mainly covering Xinjiang)  
285 received the least e-waste associated PCBs, as it is remote from the e-waste recycling sites. Imported  
286 e-waste is predicted to play an increasing role until 2020-2030 on a national scale in relative terms,  
287 when Grid cell 116 received more than 90% of input contributed by imported e-waste. This is not  
288 because PCBs produced by imported e-waste will increase in the period, but rather because other IP-

289 sources are on a continuous decline since then ban on production. After 2030, the relative contribution  
290 from imported e-waste is predicted to diminish (Figure S5) representing less than 5% to the total  
291 modelled air concentration by 2100. However, the future emissions of e-waste may be different to the  
292 emission scenario used herein, largely depending on Chinese and international control strategies. For  
293 instance, Chinese government had issued a variety of laws and legislations to establish a formal e-  
294 waste recycling system.<sup>40</sup> If the e-waste treatment gradually transits from open-burning by backyard  
295 workshop to integrated recycling process by qualified companies, the PCBs emission may decline  
296 faster than anticipated.

### 297 **3.4 Contribution from secondary sources**

298 Being able to distinguish between primary and secondary sources is important for understanding our  
299 ability to control sources and to aid policy makers to develop the most effective control measures.  
300 The advection into (and out of) China from the wider Asian region also needs to be quantified to  
301 place China's activities into a regional context. Therefore, the primary and secondary sources from  
302 China (region) and out of China (extra-region) were estimated for PCB-28 and PCB-153 (see Figure  
303 S7-a, b). In addition, the individual contribution of secondary sources from soil, water and vegetation  
304 to air, was explored (Figure S7-c, d), where regional primary/secondary emission represents  
305 emissions from the domestic sources (China) while extra-regional/primary emission represents the  
306 emissions from outside China, as result of LRAT.

307 When separating secondary sources into regional and extra-regional, the profiles for PCB-28 and  
308 PCB-153 were similar until 2030 (see Figure S7). The extra-regional primary and secondary sources  
309 dominate the emission during the initial period from 1930 to 1960 for both PCB-28 and PCB-153.  
310 During that period, China did not have any domestic production or usage of PCBs. Therefore, LRAT  
311 would have been responsible for supplying PCB to the Chinese environment. However, when China  
312 started to produce PCBs in 1964, primary sources became increasingly important and had provided a  
313 steady contribution of approximately 70%, which is predicted to continue until around 2030.

314 Afterwards, both congeners are predicted to behave differently. Future levels for PCB-28 are  
315 predicted to be mainly dominated by regional primary emission whilst PCB-153 is mostly controlled  
316 by extra-regional secondary sources. This could be due to PCB-28 mainly being supplied by ongoing  
317 and increasingly important UP sources as discussed in Section 3.2. In contrast primary sources of  
318 PCB-153 should gradually decline within China with secondary extra-regional emission becoming  
319 slowly more important.

320 Several studies have suggested that the main contribution to PCB emission should move from primary  
321 to secondary sources as production and use of PCBs declines.<sup>11, 41</sup> In China, the same trend can be  
322 seen for PCB-28 when simulations were performed only considering IP-PCBs (see Figure S8-b).  
323 However, when taking UP-PCB into account, it appears that the primary sources remained dominant  
324 over the whole simulation period as in Figure S8-a. As for the individual sources of UP-PCBs, the  
325 main contribution to emissions moved from cement kilns to EAF production over the period 2010 to  
326 2020 (see Figure S9). EAF allows steel to be made from 100% scrap, and as a result, it could greatly  
327 reduce energy consumption.<sup>42</sup> So this technology is being strongly promoted. However, without  
328 effective control measures, EAF may have potential to cause increased emission of UP-PCBs.

#### 329 **3.4.1 Re-emission from soil-air**

330 The exchange of POPs across the air-soil interface is one of the most important processes determining  
331 their long-term environmental fate, as the soil is thought to be a major reservoir in the terrestrial  
332 environment.<sup>11</sup> When individual contribution of secondary sources from soil and vegetation for PCB-  
333 153 was explored (see Figure S7-c, d), vegetation was predicted to dominate until 2030 with soil  
334 gradually becoming the main secondary source. This is a reflection of difference in the relative size  
335 of vegetation and soils as storage compartments. Delayed re-emissions normally occur from  
336 compartments that are slow to respond to changes in atmospheric concentrations such as soils and the  
337 oceans.<sup>30</sup> Therefore, soil represents an initial sink for PCBs until it reaches equilibrium with air, after  
338 which it becomes a net source as primary emissions decline.<sup>8</sup> It is important to take into account that

339 these calculations assume a well-mixed soil depth of 20 cm and increasing the depth would increase  
340 soil capacity<sup>43</sup> and vice versa.

341 Secondary emissions also occur from vegetation, although over a much shorter time-scale as  
342 vegetation responds rapidly to the changes in atmospheric concentrations.<sup>30</sup> The model suggests that  
343 vegetation is a dominant secondary source for the whole simulation period for PCB-28 (see Figure  
344 S4-c). This may be because primary sources are controlling the emission to the atmosphere, with soils  
345 acting as a reservoir during the simulated period. It was demonstrated that atmospheric deposition is  
346 the main contamination pathway for vegetation, rather than uptake from the soil, based on a study of  
347 paddy rice in China.<sup>44</sup>

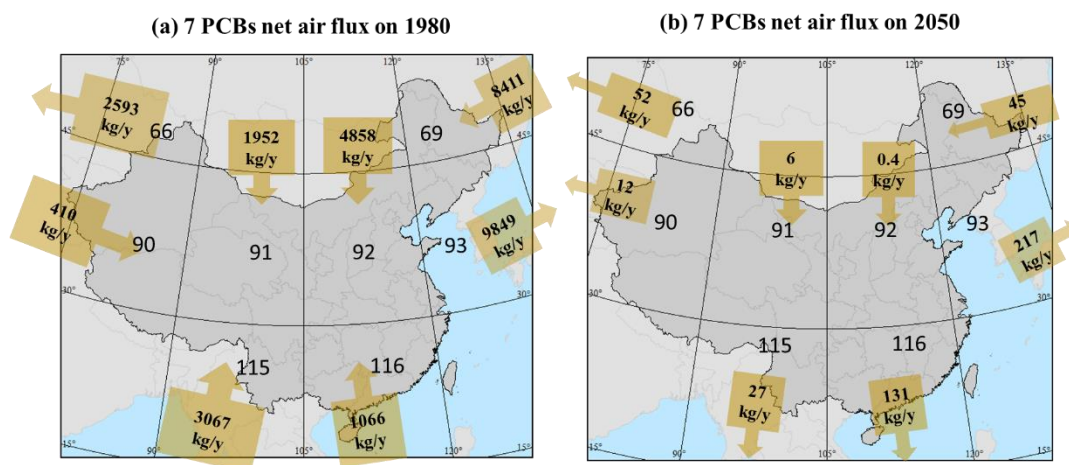
### 348 **3.4.2 Analysis of compartment response times (VZ/D)**

349 The roles of soil and vegetation compartments as secondary sources can be further explained by  
350 model calculations. Taking air (A), soil (S) and vegetation (V) as examples. The ‘storage capacity’  
351 of each medium can be calculated using compartment volume ( $V$ ,  $m^3$ ) and fugacity capacity ( $Z$ ,  $mol$   
352  $m^{-3} Pa^{-1}$ ). For PCB-28, the  $V_S Z_S$  is  $2.6 \times 10^{15} mol Pa^{-1}$ ,  $V_V Z_V$  is  $2.5 \times 10^{12} mol Pa^{-1}$ ,  $V_A Z_A$  is  $7.4 \times 10^{11} mol Pa^{-1}$ .  
353 Thus, the soil has approximately 3500 times the storage capacity of the air and has approximately  
354 1000 times the capacity of the vegetation. The transport parameter  $D$  value for soil-to-air transfer  $D_{SA}$   
355 is  $2.3 \times 10^9 mol Pa^{-1} h^{-1}$  and vegetation-to-air transfer  $D_{VA}$  is  $9.6 \times 10^9 mol Pa^{-1} h^{-1}$ . The characteristic  
356 time ( $VZ/D$ ), is the average time that a chemical ‘spends’ in a single compartment and is the first  
357 indication of persistence.<sup>45</sup> This was calculated to be approximately 92 years and ten days in soil and  
358 vegetation, respectively.<sup>46</sup> Therefore, PCB-28 in the atmosphere will rapidly exchange with the  
359 vegetation as it attempts to approach equilibrium. In addition, the pathways of air-to-soil and  
360 vegetation-to-soil were also calculated to compare the relative importance of these two pathways.  
361 The calculations suggest that the characteristic time from air to soil is 18 days while vegetation to soil  
362 is about one year. However, leaves can represent a large effective surface area which is greater than  
363 the soil surface area covered by the vegetation<sup>47</sup> and so may represent an important deposition  
364 pathway for PCBs.



### 365 3.5 Atmospheric advection

366 The importance of atmospheric advection between Chinese study regions and the extra-region was  
 367 investigated and the results presented in Figure 2 for two contrasting years 1980 and 2050,  
 368 respectively representing the ‘in-use’ and ‘phase-out’ periods. PCB production and use were  
 369 restricted around 1974,<sup>2</sup> and peak emissions were expected around 1980. At that time, the central part  
 370 of China (Grid 91 and 92) acted as a PCB storage reservoir while east of the country as industrialized  
 371 areas acted as sources of PCBs to outside regions. It is interesting to note that the western parts of the  
 372 country, which are not highly industrialized, have been acting as a net source, which may be attributed  
 373 to high abundance of lighter PCB congeners in China. Their volatility and advection from the rest of  
 374 the world or low TOC in these soils may cause this. When looking at future predictions up to 2050,  
 375 the central part of China is still predicted to receive PCBs from industrialized regions with decreasing  
 376 quantity. The direction of the net flux changes from the west and south part. When examining China  
 377 a whole, the model predicts that this country has moved from a sink with a net atmospheric input of  
 378  $\sim 7\text{t year}^{-1}$  for 7 indicator PCBs to acting as a potential source to neighbouring regions with a net  
 379 output of  $\sim 0.4\text{ t year}^{-1}$ . However, model results will be dictated by the efficiency of relative control  
 380 measures.



381

382 Figure 2. The net flux of 7 indicator PCBs atmospheric advection between region and extra-region  
 383 on 1980 (a) and 2050 (b), using the worst-case emission scenario (IP+UP).

384

### 385 **3.6 Uncertainty**

386 The emission inventory and environmental concentrations estimated in this study contain high levels  
387 of uncertainty caused by a wide range of factors. One of the most important uncertainties is the  
388 comprehensive identification of e-waste sources. Although the domestic generation of e-waste and  
389 its import from overseas have generally been captured in the current inventory, several types of  
390 electronic equipment were not considered (e.g., large household appliances and telecommunication  
391 equipment), which are still increasing. These may be considered in future work, although PCB  
392 production has been banned.<sup>7</sup> Another concern is the difficulty in tracking illicit import of e-waste  
393 without effective regulation in China. A complementary approach to tracking the sources, flows and  
394 destination of e-waste could provide further insights into the emission of e-waste pollutants.<sup>7</sup>

395 For the emission of UP-PCBs, only three major industrial processes were considered in this study.  
396 Other industrial sources could also contribute to the emission of UP-PCBs, such as secondary zinc  
397 smelting and thermal wire reclamation.<sup>16</sup> However, the individual congener profile of many industrial  
398 processes is lacking, and using emission factors from other countries has been shown to be  
399 misleading.<sup>12</sup> For example, when comparing the emission factors used in this study<sup>16</sup> with those  
400 reported from other countries, large differences were observed. Emission factors for cement  
401 production were up to 1000 times lower here than those used in the Japanese Toolkit.<sup>13</sup> This could be  
402 due to the use of industrial thermal process, such as waste incinerators fed on alternative waste  
403 material, is not very common in China. Even within this study, there were wide variations of observed  
404 emission factors in the same type of plants in China with up to 100 times difference in the most  
405 extreme case.<sup>16</sup> Therefore, using emission factors from other countries should only be recommended  
406 when domestic measurements are not available. Even then, caution should be taken. These differences  
407 also highlight the need for a more systematic survey of emission sources on a national scale to provide  
408 an unbiased and comprehensive reference for the emission inventory. A better characterization of

409 emission factors is essential to help to produce a more accurate estimation of the time trends in the  
410 future.

411 The actual sources of PCBs via industrial processes also needs to be further scrutinized. Since PCBs  
412 are not only formed by *de novo* synthesis or precursors, they may also be present in the raw  
413 materials.<sup>48</sup> For example, PCB concentrations in iron ores were reported to be around 1-1.6 mg t<sup>-1</sup> in  
414 a European sinter plant.<sup>49</sup> They are likely to be destroyed mostly in the combustion zone but may be  
415 driven off due to their volatility. Therefore, it is very important but also, a great challenge to  
416 differentiate the portion existing in the raw material and from new formation, in order to avoid double  
417 accounting for emission estimation and minimize input of contaminants going into industrial thermal  
418 processes or end of pipe measures.

419 The Chinese cement industry uses coal almost exclusively as fuel.<sup>50</sup> There is very little use of  
420 alternative fuels (defined as waste materials with heat value more than 4000 kcal kg<sup>-1</sup> for cement  
421 clinker burning) or the compression of waste materials (defined as the incineration of wastes for  
422 disposal purposes) in cement production. However, Chinese laws and policies now tend to encourage  
423 industry to use alternative fuels and waste materials.<sup>50</sup> This may result in more recycled waste material  
424 being used for cement production.

425 In the steel and iron industries, the raw materials are mainly from internally produced steel scrap with  
426 some imported from abroad. The process of scrap preheating used in EAF may result in higher  
427 emissions of PCBs from contaminated scrap with paints and lubricants containing PCBs, which could  
428 be minimized post-combustion using additional oxygen burners.<sup>49</sup> However, the related information  
429 is very limited in China. For recycled scrap, it is forbidden to have hazardous material with more than  
430 50 mg kg<sup>-1</sup> PCBs which is regulated by the Chinese government (GB13015-91). So the impact caused  
431 by the presence of PCBs in raw materials for steel industry is assumed to be negligible.

432 In this study, population density was used to distribute PCBs emission to each grid cell. For the UP-  
433 PCBs, high uncertainty may exist due to the recent movement of industrial sources from urban to

434 rural or semi-rural areas. For example, most PCB-containing equipment is stored at special sites after  
435 they have become waste. However, due to poor management and storage conditions, PCBs from some  
436 of these special storage locations have leaked into the environment of surrounding areas, especially  
437 to the soil.<sup>32, 38</sup>

### 438 **3.7 Implications for control measures**

439 The environmental response to regulatory measures for the control of persistent chemicals can be  
440 very slow and substance-specific.<sup>51</sup> Further, regional differences are also anticipated, particularly for  
441 a large country with varied geographical variations and levels of economic development like China.  
442 For this reason, an effective strategy should be developed and implemented as early as possible.  
443 Results from this study suggest that the effectiveness of emission control measures may vary  
444 significantly for individual substances and specific regions. For example, primary sources are still  
445 predominant for PCB-28, which means controllable sources could be effectively mitigated via  
446 implementing policy and regulations, especially for controlling the UP-PCBs from industrial  
447 processes. The predictions suggest that UP-PCBs had little impact on the past emission profile, but  
448 may potentially provide a greater contribution from around 2050, if current industrial thermal  
449 processes continue without further control strategies. Although the emission abatement techniques  
450 have been developed, further work is needed to control POPs from industrial activities, and on-site  
451 monitoring.<sup>28</sup> Nevertheless, this may not work well for PCB-153 and PCB-180, since imported e-  
452 waste is a more important contributor at this stage, particularly in the southern part of China.

### 453 **Support Information**

454 Detailed information on chemical properties, PCB production history in China, prediction approach  
455 of UP-PCBs emission and additional model results. This material is available free of charge via the  
456 Internet at <http://pubs.acs.org/>.

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