1	Are fluoropolymers really of low concern for human and environmental health and separate
2	from other PFAS?
3	Rainer Lohmann, 1* Ian T. Cousins, 2 Jamie C. DeWitt, 3 Juliane Glüge, 4 Gretta Goldenman, 5 Dorte
4	Herzke, ^{6A,B} Andrew B. Lindstrom, ⁷ Mark F. Miller, ⁸ Carla A. Ng, ^{9A,B} Sharyle Patton, ¹⁰ Martin
5	Scheringer, ⁴ Xenia Trier, ¹¹ Zhanyun Wang, ¹²
6	¹ Graduate School of Oceanography, University of Rhode Island, Narragansett, RI 02882, USA.
7	² Department of Environmental Science, Stockholm University, SE-10691 Stockholm, Sweden.
8	³ Department of Pharmacology & Toxicology, Brody School of Medicine, East Carolina University,
9	Greenville, NC, USA. ⁴Institute of Biogeochemistry and Pollutant Dynamics, ETH Zürich, 8092
10	Zürich, Switzerland. ⁵ Milieu Consulting SPRL, Brussels, Belgium. ^{6A} NILU in Fram Centre, Tromsø,
11	Norway. ^{6B} Institute for Arctic and Marine Biology; The Arctic University of Norway, Tromsø,
12	Norway ⁷ Center for Public Health and Environmental Assessment, U.S. Environmental
13	Protection Agency, Research Triangle Park, NC, USA ⁸ National Institute of Environmental Health
14	Sciences & U.S. Public Health Service, Research Triangle Park, NC, USA. ^{9A} Department of Civil &
15	Environmental Engineering; and ^B Environmental and Occupational Health, University of
16	Pittsburgh, Pittsburgh, PA 15261, USA. ¹⁰ Health and Environment Program Commonweal,
17	Bolinas, CA 94924, USA. ¹¹ European Environment Agency, Kgs. Nytorv 6, DK-1050 Copenhagen
18	K, Denmark. ¹² Chair of Ecological Systems Design, Institute of Environmental Engineering, ETH
19	Zürich, 8093 Zürich, Switzerland.

Corresponding Author: Rainer Lohmann, South Ferry Road, URI Bay Campus, Narragansett, RI

02882, USA; email: rlohmann@uri.edu

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Abstract

Fluoropolymers are a group of polymers within the class of per- and polyfluoroalkyl substances (PFAS). The objective of this analysis is to evaluate the evidence regarding the environmental and human health impacts of fluoropolymers throughout their life cycle(s). Production of some fluoropolymers is intimately linked to the use and emissions of legacy and novel PFAS as polymer processing aids. There are serious concerns regarding the toxicity and adverse effects of these processing aids on humans and the environment. A variety of other PFAS, including monomers and oligomers, are emitted during the production, processing, use and end-of-life treatment of fluoropolymers. There are further concerns regarding the safe disposal of fluoropolymers and their associated products at the end of their life cycle. While recycling and reuse of fluoropolymers is performed on some industrial waste, there are only limited options for their recycling from consumer products. The evidence reviewed in this analysis does not find a scientific rationale for concluding that fluoropolymers are of low concern for environmental and human health. Given fluoropolymers' extreme persistence, emissions associated with their production, use, and disposal, and high likelihood for human exposure to PFAS, their production and uses should be curtailed except in cases of essential use.

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Introduction

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The class of per- and polyfluoroalkyl substances (PFAS) consists of polymers and non-polymers.¹ Most regulatory and academic attention so far has focused on the non-polymeric PFAS, either perfluorinated or polyfluorinated alkyl substances. Within the groups of polymeric PFAS, there are fluoropolymers, side-chain fluorinated polymers, and poly- or perfluoropolyethers. As defined by Buck et al. (2011), "fluoropolymers" represent a distinct subset of fluorinated polymers, based on a carbon-only polymer backbone with F atoms directly attached to it, e.g., polytetrafluoroethylene (PTFE). In this analysis, we focus on fluoropolymers, but do not assess concerns about other fluorine-containing polymers, namely side-chain fluorinated polymers, and poly- or perfluoropolyethers. The group of fluoropolymers is dominated by PTFE; combined with fluorinated ethylene propylene (FEP), ethylene tetrafluoroethylene (ETFE) and tetrafluoroethylene-copolymers; they account for around 75% of the fluoropolymer market.² Other important fluoropolymers include polyvinylidene fluoride (PVDF), polyvinyl fluoride (PVF) and fluoroelastomers. One additional fluoropolymer that is discussed in this policy analysis is the functionalized fluoropolymer Nafion® (produced by Chemours), which is a tetrafluoroethylene-based fluoropolymer-copolymer incorporating perfluorovinyl ether groups terminated with sulfonate groups. A review by Gardiner (2015) includes a more complete overview of the different types of fluoropolymers.³ The fluoropolymer industry produced 320 300 tonnes of fluorinated plastic materials in 2018⁴, and production is steadily increasing.³

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By 2018 the global fluoropolymer industry was expected to be at \$10 billion per annum.³

Here we evaluate the evidence regarding the environmental and health impacts of fluoropolymers. Our analysis was prompted by a recent suggestion that fluoropolymers should be considered as polymers of low concern (PLC).² According to the Organization for Economic Cooperation and Development (OECD), "polymers of low concern are those deemed to have insignificant environmental and human health impacts".⁵ The PLC status of a material leads to exemptions for manufacturers from requirements under the legal chemicals management frameworks in some jurisdictions.⁶

The PLC concept is currently derived from the characteristics of finished polymers but does not cover problems occurring during production and disposal. In recognition of the potential risks posed by PFAS-related polymers, the U.S. Environmental Protection Agency has denied PLC exemptions for fluorinated side-chain polymers, but has not acted on fluoropolymers per se ⁷.

More recently, in 2019, the Industry-led European Centre for Ecotoxicology and Toxicology of Chemicals (ECETOC) developed the Conceptual Framework for Polymer Risk Assessment (CF4Polymers).8 The CF4Polymers framework provides basic guiding principles to be considered in assessing potential ecological and human health hazards and risks posed by polymer products. Unlike the PLC concept, CF4Polymers also considers specific life cycle stages of polymer products and their associated routes of exposure. The CF4Polymers polymer risk assessment thus appears sufficiently flexible to allow consideration of all potential chemical hazards at each life stage of a fluoropolymer. However, the authors of the CF4Polymers framework support the PLC approach as a means of streamlining the polymer risk assessment.

They specifically support the findings of Henry et al.² and state that they are "...unaware of scientific evidence to justify generally assigning fluoropolymers the same level of regulatory concern as other PFAS".⁸

A fluoropolymer as a finished product could technically meet the definitions of a PLC, but still pose significant concerns to human health and the environment due to emissions occurring during the life cycle (Figure 1). A well-known case where this occurs is the release of processing aids during the manufacture of some fluoropolymers. The pollution caused by emissions of low-molecular-weight PFAS used as polymer processing aids (i.e., emulsifiers, dispersants and surfactants at large) for the manufacture of some types of fluoropolymers has received considerable attention. 9–11

Fluoropolymers are very diverse in how they are produced (as granulates, fine powders or aqueous dispersions, through emulsion or suspension polymerization, with different grades), shipped, and used, which renders generic judgements on their behavior and characteristics difficult. For example, some fluoropolymers do not require PFAS-based processing aids in their manufacture (e.g. granular PTFE), whereas other fluoropolymers (e.g. fine powder PTFE and PVDF) are manufactured using PFAS-based processing aids.

In this paper, we identify concerns for environmental and human health resulting from emissions during fluoropolymer production, processing and disposal. We first review the link

between some types of fluoropolymers and PFAS emissions and then turn to more general concerns associated with (fluoro)polymers.

1. History of pollution from fluoropolymer production is closely tied to use of PFAS as polymer processing aids

Low-molecular-weight PFAS have been used for decades as emulsifiers in the polymerization of some types of fluoropolymer products. The resulting long-term exposure of production workers, the environment, and nearby neighborhoods to high levels of PFAS polymer processing aids by fluoropolymer manufacturers is now well documented and has driven much of the initial action on PFAS control. 12–19

Historically, the most widely used polymer processing aids were the ammonium salts of perfluorooctanoic acid (PFOA) and perfluorononanoic acid (PFNA).^{20,21} The majority of PFOA and PFNA now in the global environment is a result of the historical use of salts of these substances as processing aids.^{20,22} As a consequence of human and environmental health concerns, under the US EPA 2010/15 Stewardship Program, eight major manufacturers phased out PFOA/PFNA in their fluoropolymer production.²³ Many other manufacturers, though, still utilize PFOA as a processing aid; PFOA emissions have, for example, now widely polluted the Chinese environment and likely also the Arctic through ocean transport.²⁴

2. Substitute fluoropolymer processing aids raise similar concerns

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Fluoropolymer producers in industrialized countries have moved to substitute PFOA and PFNA in polymer production with structurally similar alternatives such as per- and polyfluoroalkylether carboxylic acids (PFECAs).^{21,25} These PFECAs are not technically classified as "long-chain" perfluoroalkyl acids (PFAAs) like PFOA and PFNA, but they have similar physical and chemical properties (including surfactancy and resistance to degradation) when compared with the original emulsifiers. ²⁶ One example is the substitution of PFOA by the ammonium salt of hexafluoropropylene oxide dimer acid (HFPO-DA, CAS 62037-80-3, or GenX) (Figure 2a) for PTFE production. When released into the environment, GenX, due to similarly high persistence and mobility as its predecessor PFOA, accumulates in surface water, groundwater, and soil.^{27,28} GenX has also been observed in surface water and drinking water in areas where it is produced, e.g., in North Carolina²⁹ and the Netherlands.¹² GenX does not bioaccumulate in animals to the same extent as PFOA³⁰, but has been added to the EU's Candidate List of Substances of Very High Concern (SVHC) due to an equivalent level of concern about its very high persistence, mobility in water, potential for long-range transport, accumulation in plants and observed effects on human health and the environment.31 In another example, (the ammonium salt of) PFNA has been substituted with another PFECA (CAS 329238-24-6) (Figure 2b)²⁶, which has since been detected in the surface water near a fluoropolymer production facility in Italy³² and in the surface and groundwater near a similar PVDF facility in West Deptford, New Jersey (US).³³ Another replacement polymer processing

aid, cC604, is the ammonium salt of [perfluoro{acetic acid, 2-[(5-methoxy-1)] (Figure 2c). cC604 has been detected in surface and groundwater in the Veneto region in Italy.³⁴ Also, ammonium 4,8-dioxa-3H-perfluorononanoate (CAS 958445-44-8, ADONA) (Figure 2d) is a PFECA processing aid that has been detected in the Rhine River in Germany³⁵ and in the blood of individuals living near a fluorochemical production facility in this area.³⁶

These examples demonstrate the similar concern between legacy and replacement fluoropolymer processing aids mentioned above in terms of environmental exposure, bioaccumulation and toxicity. 37,38 Many more PFAS with similar structures have been patented for possible use as fluoropolymer processing aids. 39–41 Thus, even if individual processing aids are banned, many other PFAS are available with the same functionality and similar concerns with respect to persistence and human health effects. 3M claimed that modern containment technologies recapture approximately 98% of polymer processing aids such as PFOA and others 42, but it is not clear if the remaining 2% are not of concern. Moreover, independent data are not available to support their claim.

3. Monomer, oligomer and synthesis by-product emissions during the production of fluoropolymers

Fluoropolymers are made of one or several types of monomers. During the synthesis, incomplete polymerization will result in residual monomers and oligomers, and smaller 'polymers' with up to about 100 monomer units. These and other synthesis by-products are not bound to the polymers and may be released to air upon heating during manufacturing and processing

(including sintering) and to water through wastewater streams.^{9,13} For example, a series of polyfluoroalkyl carboxylic acids were discovered near Decatur, AL (US), each differing by 1,1-difluoroethene, CF₂H₂, which was used as a building block for production of PVDF at that site.¹³ Many ultrashort-chain fluorinated by-products are highly volatile, and therefore difficult to remove in filters or liquid scrubber baths. An example is trifluoromethane (CHF₃), which has a boiling point of -82.1 °C and belongs to the group of hydrofluorocarbon (HFC) gases (HFC-23); it has a 100-year global warming potential of 12400 relative to CO₂.⁴³

fluorochemical production site.⁴⁴ A wide range of byproducts of the functionalized fluoropolymer Nafion has been observed in the environment and found to bioaccumulate in fish⁴⁵, which could be the result of manufacturing discharges¹⁰, or losses resulting from Nafion use over time.⁴⁶ It is noted that Nafion does probably not meet the PLC criteria because its sulfonic functional group can be degraded and lost.

Little is known about emissions of airborne fluoropolymer particles and oligomers, another potential source of PFAS in the atmosphere. Henry et al. (2018) specified the particle size in fluoropolymer powders to vary between 50 and 250 μ m, larger than the harmful particle sizes of PM10 and PM2.5 (10 and 2.5 μ m) in terms of harms caused by inhalation.² However, fluoropolymer particles vary in size, and may contain and transport residual monomers/oligomers long distances from their emission sources.

4. Leaching of low-molecular-weight PFAS from fluoropolymers during processing and

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Linked to the use of PFAS as production processing aids (see above), there are concerns regarding the remaining low molecular weight PFAS in fluoropolymers after production. For example, Henry et al. (2018) argued that fluoropolymers are not toxic, based on a dataset that was restricted only to a few fluoropolymer finished products, typically > 100,000 Da.² Concentrations of leachable components reported for those specific fluoropolymer products, particularly a PTFE fine powder, were labeled "very low" at 1 ppm (i.e., 1 mg/kg)², though earlier studies reported concentrations of 1-10 ppm in PTFE fine powder and much higher in PTFE aqueous dispersion (see SI in Wang et al. (2014)²²). Similar levels of PFAAs (0.3-24 ppm) were found in personal care products that contained PTFE fine particles (Assuming the cosmetics contained 1% PTFE, the range of leachables is 0.3 -24 ppm; if the total organofluorine measurements represented PTFE fine powder, then the range of PFAA-leachables is 15-1,000 ppm).47 Processing aids and other PFAS residuals, impurities and synthesis by-products are also known to leach from fluoropolymer products, for example in chromatographic instrumentation, causing a consistent background signal in analytical chemistry at the ppt level. 48,49 Residuals of 1 ppm may have significant toxicological relevance, given the recently proposed drinking water guidelines for some PFAS set at 10-100 ng/L in different countries. 50,51 Further, Henry et al. (2018) state that the low residual levels found in the finished PTFE

products that they tested are due in large part to "aggressive" steps taken to wash out residuals

and drive off volatiles.² Such aggressive treatment raises the question of how these residuals and volatiles are captured and their releases controlled, or if production by-products become air or water emissions with potential for human exposure. There is evidence that the drying step (sintering) of fluoropolymers has led to substantial emissions to air of processing aids at sites of PTFE production (West Virginia (US) and the Netherlands) and use sites in the US (North Bennington, VT; Merrimack, NH, Hoosick Falls, NY).^{52–54}

5. Toxicity of fluoropolymer processing aids, monomers and oligomers

Legacy processing aids (i.e., PFOA, PFNA) used to manufacture fluoropolymers are linked to a wide range of health effects in experimental animal models (causative) and humans (associative), including certain types of cancer, immunotoxicity, reproductive and developmental toxicity, liver toxicity, and thyroid disease. ⁵⁵ HFPO-DA shows a similar toxic potency in rodents as PFOA ³⁸, but its pharmacokinetics in humans are still uncertain. Few reports have been published regarding the potential toxicity of other replacement PFECAs, such as ADONA ^{56,57} or the PFECA CAS 329238-24-6 ⁵⁸, but these replacement chemicals are as environmentally persistent as the original polymer processing aids.³⁷ The production of many fluoropolymers still requires the use of PFAS as surfactants or as monomers, which causes releases to the environment during manufacture, and thus may pose a risk to human health and the environment. For example, the so-called "Teflon-flu" or "Polymer fume fever" has been ascribed to workers exposure to PTFE oligomers during production/manufacturing ⁵⁹.

6. Penetration of cell membranes by macromolecules

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While not specific to fluoropolymers, PLC status is partially based on a mass-based cutoff for cellular uptake (MW of > 1000 Da or 10,000 Da, depending on reactive functional groups). This was summarized by Henry et al. (2018), who advocated for PLC status of some fluoropolymers by suggesting "Polymers are too large to penetrate cell membranes". This position is not currently supported by the scientific literature related to the bioavailability of similarly sized micro- and nanoplastics of fluorine-free polymers. Nearly a decade ago, Jiang et al. (2011) showed that polystyrene nanoparticles of about 100 nm diameter are easily able to enter stem cells. ⁶⁰ Similarly, Pitt et al. (2018) reported that 42 nm polystyrene nanoparticles were present in tissue and organs of maternally and co-parentally exposed F1 embryos/larvae, proving membrane crossing capabilities of polymer nanoparticles. ⁶¹ Polymer nanoparticles with molecular weights between 12,000 and 21,000 Da have been used to deliver chemotherapeutic drugs to cancer cells ⁶², and those on the order of tens of nanometers in size have been found to enter cells and eventually even cell nuclei. ^{63,64} Furthermore, Geiser et al. (2003) showed that inhaled spherical microparticles of Teflon were able to migrate into the surface lining layer of hamster alveoli, where interactions with lung cells could occur. ⁶⁵ Many fluoropolymers are marketed in the form of suspensions with sub-micron fluoropolymer particle sizes (see, e.g. https://www.teflon.com/en/products/dispersions), thus, release of bioavailable fluoropolymer particles is plausible. Based on such emerging evidence from environmental and medical research on diverse macromolecules ⁶⁶, a blanket statement that polymers cannot enter cells is factually inaccurate.

It is recognized, however, that the global production of fluoropolymers (though not insignificant at 320 000 tons in 2018⁴, and increasing³) is relatively low in volume compared to global production of plastics (300 million tons in 2018⁶⁷) and therefore nano-sized fluoropolymers may not make a large contribution to the total exposure to, and toxicity, of nano-plastics.

Furthermore, the exposure and toxicity of nano-plastics is an area of ongoing research with many unknowns.⁶⁸

7. Persistence and disposal of fluoropolymers

Fluoropolymers are extremely persistent⁴², which, in the same way as for other polymers, can lead to a wide array of issues, particularly with respect to disposal of fluoropolymer-containing wastes and products.⁶⁹ Current concern over microplastics present in the oceans provides an example of why manufacture of polymers likely to be released into the environment should ideally be curtailed ⁷⁰, or move to easily recyclable materials.⁷¹ Hence, production of persistent polymers, such as the highly persistent fluoropolymers, should occur only in currently essential use categories.

At the industrial scale, recycling of clean PTFE waste or scraps generated during production is already happening, often by converting these into PTFE micropowder (so-called fluoroadditives) and then using them to reduce wear rate and friction. ⁷² This has the unintended consequence of spreading fluoropolymers into more uses, and complicating any efforts of controlling and reducing their losses from the technosphere. More recently, a pilot-scale industrial high-

temperature recycling process (vacuum pyrolysis) to regenerate gas-phase monomers from end-of-life industrial-scale fluoropolymer products has been established. 73 On the other hand, the recycling of fluoropolymers in consumer products is not well established, as those fluoropolymers are typically contaminated by other substances and fillers, which makes recycling difficult. 73,74 Fluoropolymers applied to metal products (e.g., nonstick frying pans) might end up in metal recycling streams, leading to their uncontrolled breakdown in metal smelters at high temperatures. Commercial bakeries regularly remove fluoropolymer coatings from their baking forms after 12-24 months of use either via burning or blasting, with unknown emissions of PFASs and fluoropolymer particles to air, water and soil, and then have the forms re-coated. In Sweden alone, for example, every year some 20 000 baking pans are 'recoated' with a total baking surface of 500 000 m². Stripping the old coating is performed by either 'burning off' at 450 °C for 4-5 h to 'break down' the coating followed by grit blasting or by water blasting at 1500 bar; it is unclear whether emissions are controlled.⁷⁵ Landfilling of fluoropolymers leads to contamination of leachates with PFAS and can contribute to releases of plastics and microplastics. Even with an exceptional chemical and thermal stability, fluoropolymer particles will be disintegrated into microplastics by weathering and physical stress, which enables further dispersion and increased bioavailability. ^{76,77} Storage in abandoned mines and oil extraction fields is an option not routinely explored (except when court-ordered, see below), but is costly and logistically complicated.

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The remaining option for the disposal of fluoropolymers is incineration; its effectiveness to destroy PFAS and the tendency for formation of fluorinated or mixed halogenated organic byproducts is not well understood ⁷⁸. Fluorinated compounds are more difficult to destroy than other organic compounds.

Tetrafluoromethane and perfluoroethane have been identified as very stable combustion byproducts from the incineration of fluorine-containing waste, but given the extra stability of perfluorinated radicals, larger molecules might also be formed as a result of incomplete combustion. PTFE can produce PFCAs (including trifluoroacetic acid (TFA)) and other fluorinated compounds when heated above about 250 °C and up to 600 °C (relevant for uncontrolled burning). Myers et al. (2014) identified multiple thermal decomposition products of polychlorotrifluoroethylene (PCTFE), a common fluoropolymer, including 29 perhalogenated carboxylic acid congener classes and 21 chlorine/fluorine substituted polycyclic aromatic hydrocarbon congener classes.

It is currently unclear whether typical municipal solid waste incinerators can safely destroy fluoropolymers without emissions of harmful PFAS and other problematic substances.

Combustion within an optimized waste incinerator (870 °C, 4 s residence time of 0.3% PTFE by weight), as opposed to the less strict 850 °C and 2 s required in the EU for municipal solid waste incinerators ⁸⁵ yielded inconclusive results with respect to stack emissions of PFAS. ⁸⁶ PFOA was regularly detected in the exhaust, but the study was marred by elevated blanks. The authors were only able to account for 56-78% of the fluorine mass balance during incineration, meaning that a wide variety of other PFAS could have been released. ⁸⁶ In any case, municipal waste

incinerators can only tolerate limited amounts of fluoropolymers due to the corrosive nature of the hydrogen fluoride released during the fluoropolymers' thermal decomposition. ⁴²

8. Can fluoropolymers be considered separately from the use of PFAS as processing aids?

For current manufacturing processes, it has not been clearly demonstrated that those fluoropolymer products that are made using emulsion polymerization (in contrast to suspension polymerization) can be produced without the use and emissions of PFAS as processing aids. For example, after discovery of widespread PFAS contamination of the Cape Fear watershed resulting from the production of fluoropolymers, a "Zero" emission policy to water was mandated in North Carolina. ¹¹ This includes the capture of PFAS-containing liquid processing waste, which is now moved out of the state for deep well injection, merely relocating the environmental concern. Emulsion polymerization processes with much reduced PFAS use,⁸⁷ or without the use of PFAS,^{41,88} as processing aids have been developed, but a phase-out of all PFAS as fluoropolymer processing aids has not yet been implemented.

9. Are fluoropolymers polymers of low or high concern?

The concerns we present above suggest that there is no sufficient evidence to consider fluoropolymers as being of low concern for environmental and human health. The group of fluoropolymers is too diverse to warrant a blanket exemption from additional regulatory

333 review. Their extreme persistence and the emissions associated with their production, use, and disposal result in a high likelihood for human exposure as long as uses are not restricted. Concluding that some fluoropolymers are of low concern for environmental and human health can only be achieved by narrowly focusing on their use phase as was done by Henry et al $(2018).^{2}$ Ideally, the assessment and management of fluoropolymers would consider the complete life cycle including associated emissions during production and disposal, as described above (see also Figure 1). The ECETOC CF4Polymers framework was an improvement over the OECD PLC criteria by introducing life cycle considerations in polymer risk assessment and it is recommended that these approaches are applied rather than focusing narrowly on the use phase. Monitoring emissions of harmful volatile and particulate PFAS at manufacturing and 343 incineration sites is urgently needed. Furthermore, mapping of all industrial activities that produce, process and dispose/incinerate fluoropolymers would allow for targeted monitoring of potentially contaminated sites and protection of potentially exposed communities. Further, there is no scientific basis to separate and subsequently remove fluoropolymers from discussions of other PFAS as a class or in terms of their impacts on human or environmental health. The conclusion that all fluoropolymers are of low concern, simply based on tests on limited finished products of four types of fluoropolymers, 2 ignores major emissions linked to their production, and large uncertainties regarding their safe end-of-life treatment. In addition, there is only very limited information on the compositions, grades, etc. of the fluoropolymers on the market. Not all fluoropolymers meet the OECD PLC criteria, as suggested

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by Henry et al. (2018) in the conclusions of their paper; for example, functionalized fluoropolymers do not meet the criteria (e.g. Nafion) due to the presence of reactive functional groups. It would anyway be impossible to verify if all fluoropolymer products were PLC or not with the information available in the public domain. If PLC is part of a regulatory framework, PLC assessment should be performed on a product-by-product basis because various grades and commercial products of fluoropolymers may or may not meet the PLC criteria. For example, a PTFE product made in China cannot be assumed to be equivalent to the PTFE products tested by Henry et al. (2018).² Our recommendation is to move toward the use of fluoropolymers in closed-loop mass flows in the technosphere and in limited essential-use categories, unless manufacturers and users can eliminate PFAS emissions from all parts of the life cycle of fluoropolymers.

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Figure 1: Conceptual diagram of the life cycle emission during fluoropolymer production, product manufacturing and disposal.

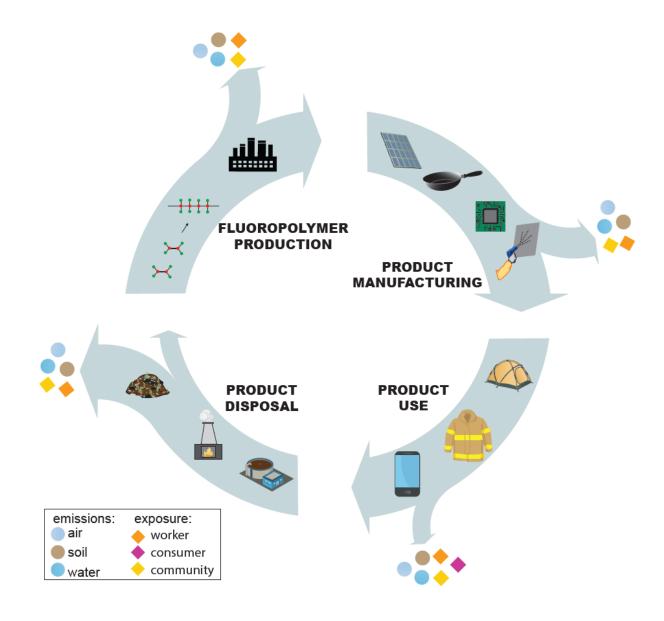
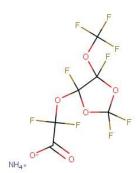


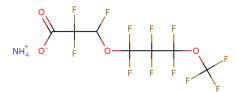
Figure 2: Structures of replacement fluoropolymer processing aids detected in the environment

a) Ammonium salt of hexafluoropropylene oxide dimer acid (HFPO-DA, CAS 62037-80-3, or GenX) detected in the environments of North Carolina and the Netherlands.

b) Functionalized PFPE reported in Wang et al. 2013 (CAS 329238-24-6) now observed in Bormida River (Italy) and New Jersey. Note: the e = ethyl group can range from 0 - 2 units and p = propyl group can range from 1-4 units with the ethyl group most likely being closest to the chlorine. Additionally, the chlorine can be on the terminal carbon as shown or on the C2 position as CF₃CF(Cl)CF₂-O.



c) Perfluoro{acetic acid, 2-[(5-methoxy-1,3-dioxolan-4-yl)oxy]}, ammonium salt (CAS No 1190931-27-1) (cC604) now observed in ground- and surface water in the Veneto region (Italy). https://echa.europa.eu/substance-information/-/substanceinfo/100.207.411



d) Ammonium 4,8-dioxa-3H-perfluorononanoate (CAS 958445-44-8) (ADONA) detected in the Rhine River and serum samples.

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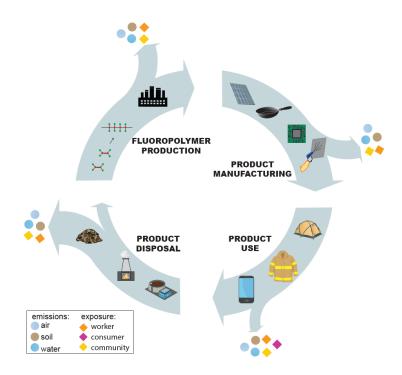
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Graphical TOC

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